



**National Highways & Infrastructure  
Development Corporation Ltd.  
(A Government of India Undertaking)**

**Asian Development Bank funded  
SASEC Road Connectivity Investment Program Tranche II  
(SRCIPII) (Project Number: P-47341-IND)**

**BIDDING DOCUMENT**

**Procurement of Works**

**Two Laning of Imphal – Moreh Section of NH 39 from Km  
350.000 to Km 395.680 in the State of Manipur (Contract  
Package II) under Engineering Procurement and  
Construction (EPC) Mode**



**Issued on: 24.08.2017**

**Invitation for Bids No.: SRCIPII/RFP/NH39-CP II/2017**

**ICB No.: SRCIPII-NHIDCL/IM-NH39-CP II**

**Employer: National Highways & Infrastructure Development Corporation Ltd.  
(NHIDCL)**

**Country: India**

## Preface

This Bidding Document for the Procurement of Works has been prepared by National Highways & Infrastructure Development Corporation Ltd. (NHIDCL) and is based on the Standard Bidding Document for the Procurement of Works (SBD Works) issued by the Asian Development Bank dated December 2016.

ADB's *SBD Works* has the structure and the provisions of the Master Procurement Document entitled "Bidding Documents for the Procurement of Works", prepared by multilateral development banks and other public international financial institutions, except where ADB-specific considerations have required a change.

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# Section 1 - Instructions to Bidders

This Section specifies the procedures to be followed by Bidders in the preparation and submission of their Bids. Information is also provided on the submission, opening, evaluation of bids, and on the award of contract.

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# Section 1 - Instructions to Bidders

## General

- 1. Scope of Bid**
  - 1.1 In connection with the Invitation for Bids (IFB) indicated in the Bid Data Sheet (BDS), the Employer, as indicated in the BDS, issues this Bidding Document for the procurement of Works as specified in Section 6 (Employer's Requirements). The name, identification, and number of contracts of the international competitive bidding (ICB) are provided in the BDS.
  - 1.2 Throughout this Bidding Document,
    - (a) the term "in writing" means communicated in written form and delivered against receipt;
    - (b) except where the context requires otherwise, words indicating the singular also include the plural and words indicating the plural also include the singular; and
    - (c) "day" means calendar day.
- 2. Source of Funds**
  - 2.1 The Borrower or Recipient (hereinafter called "Borrower") indicated in the BDS has applied for or received financing (hereinafter called "funds") from the Asian Development Bank (hereinafter called "ADB") toward the cost of the project named in the BDS. The Borrower intends to apply a portion of the funds to eligible payments under the contract(s) for which this Bidding Document is issued.
  - 2.2 Payments by the ADB will be made only at the request of the Borrower and upon approval by ADB in accordance with the terms and conditions of the Financing Agreement between the Borrower and ADB (hereinafter called "Financing Agreement"), and will be subject in all respects to the terms and conditions of that Financing Agreement. No party other than the Borrower shall derive any rights from the Financing Agreement or have any claim to the funds.
- 3. Fraud and Corruption**
  - 3.1 ADB's Anticorruption Policy requires Borrowers (including beneficiaries of ADB-financed activity), as well as Bidders, Suppliers, and Contractors under ADB-financed contracts, observe the highest standard of ethics during the procurement and execution of such contracts. In pursuance of this policy, ADB
    - (a) defines, for the purposes of this provision, the terms set forth below as follows:
      - (i) "corrupt practice" means the offering, giving, receiving, or soliciting, directly or indirectly, anything of value to influence improperly the actions of another party;
      - (ii) "fraudulent practice" means any act or omission, including a misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain a financial or other

benefit or to avoid an obligation;

- (iii) “coercive practice” means impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
  - (iv) “collusive practice” means an arrangement between two or more parties designed to achieve an improper purpose, including influencing improperly the actions of another party;
  - (v) “obstructive practice” means (a) deliberately destroying, falsifying, altering, or concealing of evidence material to an ADB investigation; (b) making false statements to investigators in order to materially impede an ADB investigation; (c) failing to comply with requests to provide information, documents, or records in connection with an Office of Anticorruption and Integrity (OAI) investigation; (d) threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or (e) materially impeding ADB’s contractual rights of audit or access to information; and
  - (vi) “integrity violation” is any act which violates ADB’s Anticorruption Policy, including (i) to (v) above and the following: abuse, conflict of interest, violations of ADB sanctions, retaliation against whistleblowers or witnesses, and other violations of ADB’s Anticorruption Policy, including failure to adhere to the highest ethical standard.
- (b) will reject a proposal for award if it determines that the Bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices or other integrity violations in competing for the Contract;
  - (c) will cancel the portion of the financing allocated to a contract if it determines at any time that representatives of the Borrower or of a beneficiary of ADB-financing engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices or other integrity violations during the procurement or the execution of that contract, without the Borrower having taken timely and appropriate action satisfactory to ADB to remedy the situation;
  - (d) will impose remedial actions on a firm or an individual, at any time, in accordance with ADB’s Anticorruption Policy and Integrity Principles and Guidelines (both as amended from time to time), including declaring ineligible, either indefinitely or for a stated period of time, to participate<sup>1</sup> in ADB-financed, -administered, or -supported activities or to benefit from an ADB-financed, -administered, or -supported contract, financially or otherwise, if it at any time determines that the firm or individual has, directly or through an agent, engaged in corrupt, fraudulent, collusive,

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<sup>1</sup> Whether as a Contractor, Nominated Subcontractor, Consultant, Manufacturer or Supplier, or Service Provider; or in any other capacity (different names are used depending on the particular Bidding Document). A Nominated Subcontractor is one that either has been (i) included by the Bidder in its prequalification application or bid because it brings specific and critical experience and know-how that are accounted for in the evaluation of the bidder’s prequalification application or the bid; or (ii) appointed by the Employer.

coercive, or obstructive practices or other integrity violations; and

- (e) will have the right to require that a provision be included in bidding documents and in contracts financed by ADB, requiring Bidders, suppliers and contractors to permit ADB or its representative to inspect their accounts and records and other documents relating to the bid submission and contract performance and to have them audited by auditors appointed by ADB.

3.2 Furthermore, Bidders shall be aware of the provision stated in Subclause 1.15 and 15.6 of the Conditions of Contract.

#### **4. Eligible Bidders**

4.1 A Bidder may be a natural person, private entity, or government-owned enterprise subject to ITB 4.5—or any combination of them with a formal intent to enter into an agreement or under an existing agreement in the form of a Joint Venture. In the case of a Joint Venture,

- (a) all partners shall be jointly and severally liable; and
- (b) the Joint Venture shall nominate a Representative who shall have the authority to conduct all business for and on behalf of any and all the parties of the Joint Venture during the bidding process and, in the event the Joint Venture is awarded the Contract, during contract execution.

4.2 A Bidder, and all parties constituting the Bidder, shall have the nationality of an eligible country, in accordance with Section 5 (Eligible Countries). A Bidder shall be deemed to have the nationality of a country if the Bidder is a citizen or is constituted, incorporated, or registered, and operates in conformity with the provisions of the laws of that country. This criterion shall also apply to the determination of the nationality of proposed subcontractors or suppliers for any part of the Contract including related services.

4.3 A Bidder shall not have a conflict of interest. All Bidders found to have a conflict of interest shall be disqualified. A Bidder may be considered to be in a conflict of interest with one or more parties in the bidding process if any of, including but not limited to, the following apply:

- (a) they have controlling shareholders in common; or
- (b) they receive or have received any direct or indirect subsidy from any of them; or
- (c) they have the same legal representative for purposes of this bid; or
- (d) they have a relationship with each other, directly or through common third parties, that puts them in a position to have access to material information about or improperly influence the bid of another Bidder, or influence the decisions of the Employer regarding this bidding process; or
- (e) a Bidder participates in more than one bid in this bidding process, either individually or as a partner in a joint venture, except for



alternative offers permitted under ITB 13 of the Bidding Document. This will result in the disqualification of all Bids in which it is involved. However, subject to any finding of a conflict of interest in terms of ITB 4.3(a)-(d) above, this does not limit the participation of a Bidder as a Subcontractor in another Bid or of a firm as a Subcontractor in more than one Bid; or

- (f) a Bidder or any affiliated entity, participated as a Consultant in the preparation of the design or technical specifications of the works that are the subject of the Bid; or
- (g) a Bidder was affiliated with a firm or entity that has been hired (or is proposed to be hired) by the Employer or Borrower as Engineer for the contract.

- 4.4 A firm shall not be eligible to participate in any procurement activities under an ADB-financed, -administered, or -supported project while under temporary suspension or debarment by ADB pursuant to its Anticorruption Policy (see ITB 3), whether such debarment was directly imposed by ADB, or enforced by ADB pursuant to the Agreement for Mutual Enforcement of Debarment Decisions. A bid from a temporary suspended or debarred firm will be rejected.
- 4.5 Government-owned enterprises in the Employer's country shall be eligible only if they can establish that they (i) are legally and financially autonomous, (ii) operate under commercial law, and (iii) are not a dependent agency of the Employer.
- 4.6 Bidders shall provide such evidence of their continued eligibility satisfactory to the Employer, as the Employer shall reasonably request.
- 4.7 Firms shall be excluded if by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Borrower's country prohibits any import of goods or contracting of works or services from that country or any payments to persons or entities in that country.
- 4.8 In case a prequalification process has been conducted prior to the bidding process, this bidding is open only to prequalified Bidders.

**5. Eligible Materials, Equipment and Services**

- 5.1 The materials, equipment, and services to be supplied under the Contract shall have their origin in eligible source countries as defined in ITB 4.2 above and all expenditures under the Contract will be limited to such materials, equipment, and services. At the Employer's request, Bidders may be required to provide evidence of the origin of materials, equipment, and services.

- 5.2 For purposes of ITB 5.1 above, “origin” means the place where the materials and equipment are mined, grown, produced, or manufactured, and from which the services are provided. Materials and equipment are produced when, through manufacturing, processing, or substantial or major assembling of components, a commercially recognized product results that differs substantially in its basic characteristics or in purpose or utility from its components.

#### Contents of Bidding Document

**6. Sections of Bidding Document**

- 6.1 The Bidding Document consist of Parts I, II, and III, which include all the sections indicated below, and should be read in conjunction with any addenda issued in accordance with ITB 8.

**PART I Bidding Procedures**

Section 1 - Instructions to Bidders (ITB)  
Section 2 - Bid Data Sheet (BDS)  
Section 3 - Evaluation and Qualification Criteria (EQC)  
Section 4 - Bidding Forms (BDF)  
Section 5 - Eligible Countries (ELC)

**PART II Requirements**

Section 6 - Employer's Requirements (ERQ)

**PART III Conditions of Contract and Contract Forms**

Section 7 - General Conditions of Contract (GCC)  
Section 8 - Particular Conditions of Contract (PCC)  
Section 9 - Contract Forms (COF)

- 6.2 The IFB issued by the Employer is not part of the Bidding Document.
- 6.3 The Employer is not responsible for the completeness of the Bidding Document and their addenda, if they were not obtained directly from the source stated by the Employer in the IFB.
- 6.4 The Bidder is expected to examine all instructions, forms, terms, and specifications in the Bidding Document. Failure to furnish all information or documentation required by the Bidding Document may result in the rejection of the bid.

**7. Clarification of Bidding Document, Site Visit, Pre-Bid Meeting**

- 7.1 A prospective Bidder requiring any clarification on the Bidding Document shall contact the Employer in writing at the Employer's address indicated in the BDS or raise his inquiries during the pre-bid meeting if provided for in accordance with ITB 7.4. The Employer will respond in writing to any request for clarification, provided that such request is received no later than 21 days prior to the deadline for submission of bids. The Employer shall forward copies of its response to all Bidders who have acquired the Bidding Document in accordance with ITB 6.3, including a description of the inquiry but without identifying its source. Should the Employer deem it necessary to

amend the Bidding Document as a result of a request for clarification, it shall do so following the procedure under ITB 8 and ITB 22.2.

- 7.2 The Bidder is advised to visit and examine the Site of Works and its surroundings and obtain for itself on its own responsibility all information that may be necessary for preparing the Bid and entering into a contract for construction of the Works. The costs of visiting the Site shall be at the Bidder's own expense.
- 7.3 The Bidder and any of its personnel or agents will be granted permission by the Employer to enter its premises and lands for the purpose of such visit, but only upon the express condition that the Bidder, its personnel, and agents will release and indemnify the Employer and its personnel and agents from and against all liability in respect thereof, and will be responsible for death or personal injury, loss of or damage to property, and any other loss, damage, costs, and expenses incurred as a result of the inspection.
- 7.4 The Bidder's designated representative is invited to attend a pre-bid meeting, if provided for in the BDS. The purpose of the meeting will be to clarify issues and to answer questions on any matter that may be raised at that stage.
- 7.5 The Bidder is requested to submit any questions in writing, to reach the Employer not later than 1 week before the meeting.
- 7.6 Minutes of the pre-bid meeting, including the text of the questions raised, without identifying the source, and the responses given, together with any responses prepared after the meeting, will be transmitted promptly to all Bidders who have acquired the Bidding Document in accordance with ITB 6.3. Any modification to the Bidding Document that may become necessary as a result of the pre-bid meeting shall be made by the Employer exclusively through the issue of an addendum pursuant to ITB 8 and not through the minutes of the pre-bid meeting.
- 7.7 Nonattendance at the pre-bid meeting will not be a cause for disqualification of a Bidder.

## **8. Amendment of Bidding Document**

- 8.1 At any time prior to the deadline for submission of Bids, the Employer may amend the Bidding Document by issuing addenda.
- 8.2 Any addendum issued shall be part of the Bidding Document and shall be communicated in writing to all who have obtained the Bidding Document from the Employer in accordance with ITB 6.3.
- 8.3 To give prospective Bidders reasonable time in which to take an addendum into account in preparing their Bids, the Employer may, at its discretion, extend the deadline for the submission of Bids, pursuant to ITB 22.2

- 9. Cost of Bidding** 9.1 The Bidder shall bear all costs associated with the preparation and submission of its Bid, and the Employer shall in no case be responsible or liable for those costs, regardless of the conduct or outcome of the bidding process.
- 10. Language of Bid** 10.1 The Bid, as well as all correspondence and documents relating to the bid exchanged by the Bidder and the Employer, shall be written in the language specified in the BDS. Supporting documents and printed literature that are part of the Bid may be in another language provided they are accompanied by an accurate translation of the relevant passages in the language specified in the BDS, in which case, for purposes of interpretation of the Bid, such translation shall govern.
- 11. Documents Comprising the Bid** 11.1 The Bid shall comprise two envelopes submitted simultaneously, one called the Technical Bid containing the documents listed in ITB 11.2 and the other the Price Bid containing the documents listed in ITB 11.3, both envelopes enclosed together in an outer single envelope.
- 11.2 The Technical Bid shall comprise the following:
- (a) Letter of Technical Bid;
  - (b) Bid Security or Bid-Securing Declaration, in accordance with ITB 19;
  - (c) alternative Bids, if permissible, in accordance with ITB 13;
  - (d) written confirmation authorizing the signatory of the Bid to commit the Bidder, in accordance with ITB 20.2;
  - (e) documentary evidence in accordance with ITB 17, establishing the Bidder's qualifications to perform the contract;
  - (f) Technical Proposal in accordance with ITB 16;
  - (g) Any other document required in the BDS.
- 11.3 The Price Bid shall comprise the following:
- (a) Letter of Price Bid;
  - (b) completed Price Schedules, in accordance with ITB 12 and ITB 14;
  - (c) alternative price Bids, at Bidder's option and if permissible, in accordance with ITB 13;
  - (d) Any other document required in the BDS.
- 11.4 In addition to the requirements under ITB 11.2, Bids submitted by a Joint Venture shall include a copy of the Joint Venture Agreement entered into by all partners. Alternatively, a Letter of Intent to execute a Joint Venture Agreement in the event of a successful Bid shall be signed by all partners and submitted with the Bid, together with a copy of the proposed agreement.
- 12. Letters of Bid and** 12.1 The Letters of Technical Bid and Price Bid, and the Schedules,

**Schedules**

including the Bill of Quantities, shall be prepared using the relevant forms furnished in Section 4 (Bidding Forms). The forms must be completed without any alterations to the text, and no substitutes shall be accepted. All blank spaces shall be filled in with the information requested and as required in the BDS.

**13. Alternative Bids**

- 13.1 Unless otherwise indicated in the BDS, alternative Bids shall not be considered.
- 13.2 When alternative times for completion are explicitly invited, a statement to that effect will be included in the BDS, as will the method of evaluating different times for completion.
- 13.3 Except as provided under ITB 13.4 below, Bidders wishing to offer technical alternatives to the requirements of the Bidding Document must first price the Employer's design as described in the Bidding Document and shall further provide all information necessary for a complete evaluation of the alternative by the Employer, including drawings, design calculations, technical specifications, breakdown of prices, and proposed construction methodology and other relevant details. Only the technical alternatives, if any, of the lowest evaluated Bidder conforming to the basic technical requirements shall be considered by the Employer.
- 13.4 When specified in the BDS, Bidders are permitted to submit alternative technical solutions for specified parts of the Works. Such parts will be identified in the BDS and described in Section 6 (Employer's Requirements). The method for their evaluation will be stipulated in Section 3 (Evaluation and Qualification Criteria).

**14. Bid Prices and Discounts**

- 14.1 The prices and discounts quoted by the Bidder in the Letter of Price Bid and in the Bill of Quantities shall conform to the requirements specified below.
- 14.2 The Bidder shall fill in rates and prices for all items of the Works described in the Bill of Quantities. Items against which no rate or price is entered by the Bidder will not be paid for by the Employer when executed and shall be deemed covered by the rates for other items and prices in the Bill of Quantities.
- 14.3 The price to be quoted in the Letter of Price Bid, in accordance with ITB 12.1, shall be the total price of the Bid, excluding any discounts offered. . Absence of the total bid price in the Letter of Price Bid may result in the rejection of the Bid.
- 14.4 The Bidder shall quote any discounts and the methodology for their application in the Letter of Price Bid, in accordance with ITB 12.1.
- 14.5 Unless otherwise provided in the BDS and the Contract, the rates and prices quoted by the Bidder are subject to adjustment during the performance of the Contract in accordance with the provisions of the Conditions of Contract. In such a case, the Bidder shall furnish the indexes and weightings for the price adjustment formulas in the Tables of Adjustment Data included in Section 4 (Bidding Forms) and the

Employer may require the Bidder to justify its proposed indexes and weightings.

14.6 If so indicated in ITB 1.1, bids are being invited for individual contracts or for any combination of contracts (packages). Bidders wishing to offer any price reduction for the award of more than one Contract shall specify in their bid the price reductions applicable to each package, or alternatively, to individual Contracts within the package. Price reductions or discounts shall be submitted in accordance with ITB 14.4, provided the Bids for all contracts are submitted and opened at the same time.

14.7 All duties, taxes, and other levies payable by the Contractor under the Contract, or for any other cause, as of the date 28 days prior to the deadline for submission of bids, shall be included in the rates and prices and the total Bid Price submitted by the Bidder.

**15. Currencies of Bid and Payment**

15.1 The unit rates and the prices shall be quoted by the Bidder entirely in the currency specified in the BDS.

15.2 Bidders shall indicate the portion of the bid price that corresponds to expenditures incurred in the currency of the Employer's country in the Schedule of Payment Currencies included in Section 4 (Bidding Forms).

15.3 Bidders expecting to incur expenditures in other currencies for inputs to the Works supplied from outside the Employer's country and wishing to be paid accordingly may indicate up to three foreign currencies in the Schedule of Payment Currencies included in Section 4 (Bidding Forms).

15.4 The rates of exchange to be used by the Bidder for currency conversion during bid preparation shall be the selling rates for similar transactions prevailing on the date 28 days prior to the deadline for submission of bids published by the source specified in the BDS. If exchange rates are not so published for certain currencies, the Bidder shall state the rates used and the source. Bidders should note that for the purpose of payments, the exchange rates confirmed by the source specified in the BDS as the selling rates prevailing 28 days prior to the deadline for submission of Bids shall apply for the duration of the Contract so that no currency exchange risk is borne by the Bidder.

15.5 Foreign currency requirements indicated by the Bidders in the Schedule of Payment Currencies shall include but not limited to the specific requirements for

- (a) expatriate staff and labor employed directly on the Works;
- (b) social, insurance, medical and other charges relating to such expatriate staff and labor, and foreign travel expenses;
- (c) imported materials, both temporary and permanent, including fuels, oil and lubricants required for the Works;

- (d) depreciation and usage of imported Plant and Contractor's Equipment, including spare parts, required for the Works;
- (e) foreign insurance and freight charges for imported materials, Plant and Contractor's Equipment, including spare parts; and
- (f) overhead expenses, fees, profit, and financial charges arising outside the Employer's country in connection with the Works.

15.6 Bidders may be required by the Employer to clarify their foreign currency requirements, and to substantiate that the amounts included in the unit rates and prices and shown in the Schedule of Payment Currencies are reasonable and responsive to ITB 15.3 above, in which case a detailed breakdown of its foreign currency requirements shall be provided by the Bidder.

15.7 Bidders should note that during the progress of the Works, the foreign currency requirements of the outstanding balance of the Contract Price may be adjusted by agreement between the Employer and the Contractor in order to reflect any changes in foreign currency requirements for the Contract, in accordance with Subclause 14.15 of the Conditions of Contract. Any such adjustment shall be effected by comparing the percentages quoted in the bid with the amounts already used in the Works and the Contractor's future needs for imported items.

**16. Documents  
Comprising the  
Technical  
Proposal**

16.1 The Bidder shall furnish a Technical Proposal including a statement of work methods, equipment, personnel, schedule, and any other information as stipulated in Section 4 (Bidding Forms), in sufficient detail to demonstrate the adequacy of the Bidders' proposal to meet the work requirements and the completion time.

**17. Documents  
Establishing the  
Qualifications of  
the Bidder**

17.1 To establish its qualifications to perform the Contract in accordance with Section 3 (Evaluation and Qualification Criteria) the Bidder shall provide the information requested in the corresponding information sheets included in Section 4 (Bidding Forms).

17.2 Domestic Bidders, individually or in joint ventures, applying for eligibility for domestic preference shall supply all information required to satisfy the criteria for eligibility as described in ITB 35.

**18. Period of Validity  
of Bids**

18.1 Bids shall remain valid for the period specified in the BDS after the bid submission deadline date prescribed by the Employer. A bid valid for a shorter period shall be rejected by the Employer as nonresponsive.

18.2 In exceptional circumstances, prior to the expiration of the bid validity period, the Employer may request Bidders to extend the period of validity of their Bids. The request and the responses shall be made in writing. If a bid security is requested in accordance with ITB 19, it shall also be extended 28 days beyond the deadline of the extended validity period. A Bidder may refuse the request without forfeiting its bid security. A Bidder granting the request shall not be required or permitted to modify its Bid.

**19. Bid Security/Bid-**

19.1 Unless otherwise specified in the BDS, the Bidder shall furnish as part

**Securing  
Declaration**

of its Bid, in original form, either a Bid-Securing Declaration or a bid security as specified in the BDS. In the case of a bid security, the amount and currency shall be as specified in the BDS.

19.2 If a Bid-Securing Declaration is required pursuant to ITB 19.1, it shall use the form included in Section 4 (Bidding Forms). The Employer will declare a Bidder ineligible to be awarded a Contract for a specified period of time, as indicated in the BDS, if the Bid-Securing Declaration is executed.

19.3 If a bid security is specified pursuant to ITB 19.1, the bid security shall be, at the Bidder's option, in any of the following forms:

- (a) an unconditional bank guarantee,
- (b) an irrevocable letter of credit, or
- (c) a cashier's or certified check,

all from a reputable source from an eligible country as described in Section 5 (Eligible Countries). In the case of a bank guarantee, the bid security shall be submitted either using the Bid Security Form included in Section 4 (Bidding Forms) or another form acceptable to the Employer. The form must include the complete name of the Bidder. The bid security shall be valid for 28 days beyond the original validity period of the bid, or beyond any period of extension if requested under ITB 18.2.

19.4 Unless otherwise specified in the BDS, any Bid not accompanied by a substantially compliant bid security or Bid-Securing Declaration, if one is required in accordance with ITB 19.1, shall be rejected by the Employer as nonresponsive.

19.5 If a bid security is specified pursuant to ITB 19.1, the bid security of unsuccessful Bidders shall be returned as promptly as possible upon the successful Bidder's furnishing of the performance security pursuant to ITB 42.

19.6 If a bid security is specified pursuant to ITB 19.1, the bid security of the successful Bidder shall be returned as promptly as possible once the successful Bidder has signed the Contract and furnished the required performance security.

19.7 The bid security may be forfeited or the Bid Securing Declaration executed,

- (a) if a Bidder withdraws its bid during the period of bid validity specified by the Bidder on the Letters of Technical Bid and Price Bid, except as provided in ITB 18.2; or
- (b) if the successful Bidder fails to
  - (i) sign the Contract in accordance with ITB 41;
  - (ii) furnish a performance security in accordance with ITB 42;



(iii) accept the arithmetical correction of its Bid in accordance with ITB 33; or

(iv) furnish a domestic preference security, if so required.

19.8 The Bid Security or Bid Securing Declaration of a Joint Venture shall be in the name of the Joint Venture that submits the Bid. If the Joint Venture has not been legally constituted at the time of bidding, the bid security or Bid-Securing Declaration shall be in the names of all future partners as named in the letter of intent mentioned in ITB 4.1.

## **20. Format and Signing of Bid**

20.1 The Bidder shall prepare one original set of the Technical Bid and one original set of the Price Bid comprising the Bid as described in ITB 11 and clearly mark it "ORIGINAL - TECHNICAL BID" and "ORIGINAL - PRICE BID." Alternative Bids, if permitted in accordance with ITB 13, shall be clearly marked "ALTERNATIVE." In addition, the Bidder shall submit copies of the Technical and Price Bids, in the number specified in the BDS, and clearly mark each of them "COPY." In the event of any discrepancy between the original and the copies, the original shall prevail.

20.2 The original and all copies of the Bid shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Bidder. This authorization shall consist of a written confirmation as specified in the BDS and shall be attached to the bid. The name and position held by each person signing the authorization must be typed or printed below the signature. All pages of the Bid, except for unamended printed literature, shall be signed or initialed by the person signing the Bid. If a Bidder submits a deficient authorization, the Bid shall not be rejected in the first instance. The Employer shall request the Bidder to submit an acceptable authorization within the number of days as specified in the BDS. Failure to provide an acceptable authorization within the prescribed period of receiving such a request shall cause the rejection of the Bid.

20.3 Any amendments such as interlineations, erasures, or overwriting shall be valid only if they are signed or initialed by the person signing the Bid.

### **Submission and Opening of Bids**

## **21. Sealing and Marking of Bids**

21.1 Bidders may always submit their Bids by mail or by hand. When so specified in the BDS, Bidders shall have the option of submitting their Bids electronically. Procedures for submission, sealing, and marking are as follows:

(a) Bidders submitting Bids by mail or by hand shall enclose the original of the Technical Bid, the original of the Price Bid, and each copy of the Technical Bid and each copy of the Price Bid, in separate sealed envelopes, duly marking the envelopes as "ORIGINAL - TECHNICAL BID," "ORIGINAL - PRICE BID," and "COPY NO... - TECHNICAL BID" and "COPY NO.... - PRICE BID." These envelopes, the first containing the originals and the others containing copies, shall then be enclosed in one single envelope per set. If permitted in accordance with ITB 13,

alternative Bids shall be similarly sealed, marked and included in the sets. The rest of the procedure shall be in accordance with ITB 21.2 and ITB 21.5.

- (b) Bidders submitting Bids electronically shall follow the electronic bid submission procedures specified in the BDS.

21.2 The inner and outer envelopes shall

- (a) bear the name and address of the Bidder;
- (b) be addressed to the Employer in accordance with BDS 22.1; and
- (c) bear the specific identification of this bidding process indicated in the BDS 1.1.

21.3 The outer envelopes and the inner envelopes containing the Technical Bid shall bear a warning not to open before the time and date for the opening of Technical Bid, in accordance with ITB 25.1.

21.4 The inner envelopes containing the Price Bid shall bear a warning not to open until advised by the Employer in accordance with ITB 25.7.

21.5 If all envelopes are not sealed and marked as required, the Employer will assume no responsibility for the misplacement or premature opening of the Bid.

**22. Deadline for Submission of Bids**

22.1 Bids must be received by the Employer at the address and no later than the date and time indicated in the BDS.

22.2 The Employer may, at its discretion, extend the deadline for the submission of Bids by amending the Bidding Document in accordance with ITB 8, in which case all rights and obligations of the Employer and Bidders previously subject to the deadline shall thereafter be subject to the deadline as extended.

**23. Late Bids**

23.1 The Employer shall not consider any Bid that arrives after the deadline for submission of bids, in accordance with ITB 22. Any bid received by the Employer after the deadline for submission of Bids shall be declared late, rejected, and returned unopened to the Bidder.

**24. Withdrawal, Substitution, and Modification of Bids**

24.1 A Bidder may withdraw, substitute, or modify its Bid – Technical or Price – after it has been submitted by sending a written notice, duly signed by an authorized representative, and shall include a copy of the authorization in accordance with ITB 20.2, (except that withdrawal notices do not require copies). The corresponding substitution or modification of the Bid must accompany the respective written notice. All notices must be

- (a) prepared and submitted in accordance with ITB 20 and ITB 21 (except that withdrawal notices do not require copies), and in addition, the respective envelopes shall be clearly marked “WITHDRAWAL,” “SUBSTITUTION,” “MODIFICATION”; and

- (b) received by the Employer no later than the deadline prescribed for

submission of Bids, in accordance with ITB 22.

24.2 Bids requested to be withdrawn in accordance with ITB 24.1 shall be returned unopened to the Bidders.

24.3 No Bid may be withdrawn, substituted, or modified in the interval between the deadline for submission of Bids and the expiration of the period of bid validity specified by the Bidder on the Letters of Technical Bid and Price Bid or any extension thereof.

## **25. Bid Opening**

25.1 The Employer shall open the Technical Bids in public at the address, on the date and time specified in the BDS in the presence of Bidders' designated representatives and anyone who chooses to attend. Any specific electronic bid opening procedures required if electronic bidding is permitted in accordance with ITB 21.1, shall be as specified in the BDS. The Price Bids will remain unopened and will be held in custody of the Employer until the specified time of their opening. If the Technical Bid and the Price Bid are submitted together in one envelope, the Employer may reject the entire Bid. Alternatively, the Price Bid may be immediately resealed for later evaluation.

25.2 First, envelopes marked "WITHDRAWAL" shall be opened and read out and the envelope with the corresponding Bid shall not be opened, but returned to the Bidder. No bid withdrawal shall be permitted unless the corresponding withdrawal notice contains a valid authorization to request the withdrawal and is read out at bid opening.

25.3 Second, outer envelopes marked "SUBSTITUTION" shall be opened. The inner envelopes containing the Substitution Technical Bid and/or Substitution Price Bid shall be exchanged for the corresponding envelopes being substituted, which are to be returned to the Bidder unopened. Only the Substitution Technical Bid, if any, shall be opened, read out, and recorded. Substitution Price Bid will remain unopened in accordance with ITB 25.1. No envelope shall be substituted unless the corresponding substitution notice contains a valid authorization to request the substitution and is read out and recorded at bid opening.

25.4 Next, outer envelopes marked "MODIFICATION" shall be opened. No Technical Bid and/or Price Bid shall be modified unless the corresponding modification notice contains a valid authorization to request the modification and is read out and recorded at the opening of Technical Bids. Only the Technical Bids, both Original as well as Modification, are to be opened, read out, and recorded at the opening. Price Bids, both Original as well as Modification, will remain unopened in accordance with ITB 25.1.

25.5 All other envelopes holding the Technical Bids shall be opened one at a time, and the following read out and recorded:

- (a) the name of the Bidder;
- (b) whether there is a modification or substitution;
- (c) the presence of a bid security or Bid-Securing Declaration, if required; and

(d) any other details as the Employer may consider appropriate.

Only Technical Bids and alternative Technical Bids read out and recorded at bid opening shall be considered for evaluation. Unless otherwise specified in the BDS, all pages of the Letter of Technical Bid are to be initialed by at least three representatives of the Employer attending bid opening. No Bid shall be rejected at the opening of Technical Bids except for late bids, in accordance with ITB 23.1.

25.6 The Employer shall prepare a record of the opening of Technical Bids that shall include, as a minimum, the name of the Bidder and whether there is a withdrawal, substitution, or modification; alternative proposals; and the presence or absence of a bid security or Bid-Securing Declaration, if one was required. The Bidders' representatives who are present shall be requested to sign the record. The omission of a Bidder's signature on the record shall not invalidate the contents and effect of the record. A copy of the record shall be distributed to all Bidders who submitted Bids on time, and posted online when electronic bidding is permitted.

25.7 At the end of the evaluation of the Technical Bids, the Employer will invite bidders who have submitted substantially responsive Technical Bids and who have been determined as being qualified for award to attend the opening of the Price Bids. The date, time, and location of the opening of Price Bids will be advised in writing by the Employer. Bidders shall be given reasonable notice of the opening of Price Bids.

25.8 The Employer will notify Bidders in writing who have been rejected on the grounds of their Technical Bids being substantially nonresponsive to the requirements of the Bidding Document and return their Price Bids unopened.

25.9 The Employer shall conduct the opening of Price Bids of all Bidders who submitted substantially responsive Technical Bids, in the presence of Bidders' representatives who choose to attend at the address, on the date, and time specified by the Employer. The Bidder's representatives who are present shall be requested to sign a register evidencing their attendance.

25.10 All envelopes containing Price Bids shall be opened one at a time and the following read out and recorded:

- (a) the name of the Bidder;
- (b) whether there is a modification or substitution;
- (c) the Bid Prices, including any discounts and alternative offers; and
- (d) any other details as the Employer may consider appropriate.

Only Price Bids discounts, and alternative offers read out and recorded during the opening of Price Bids shall be considered for evaluation. Unless otherwise specified in the BDS, all pages of the Letter of Price Bid and Bill of Quantities are to be initialed by at least three representatives of the Employer attending bid opening. No Bid

shall be rejected at the opening of Price Bids.

- 25.11 The Employer shall prepare a record of the opening of Price Bids that shall include, as a minimum, the name of the Bidder, the Bid Price (per lot if applicable), any discounts, and alternative offers. The Bidders' representatives who are present shall be requested to sign the record. The omission of a Bidder's signature on the record shall not invalidate the contents and effect of the record. A copy of the record shall be distributed to all Bidders who submitted Bids on time, and posted online when electronic bidding is permitted.

#### Evaluation and Comparison of Bids

### **26. Confidentiality**

- 26.1 Information relating to the examination, evaluation, comparison, and post qualification of Bids and recommendation of contract award, shall not be disclosed to Bidders or any other persons not officially concerned with such process until information on the Contract award is communicated to all Bidders.
- 26.2 Any attempt by a Bidder to influence the Employer in the evaluation of the Bids or Contract award decisions may result in the rejection of its Bid.
- 26.3 Notwithstanding ITB 26.2, from the time of bid opening to the time of Contract award, if any Bidder wishes to contact the Employer on any matter related to the bidding process, it may do so in writing.

### **27. Clarification of Bids**

- 27.1 To assist in the examination, evaluation, and comparison of the Technical and Price Bids, the Employer may, at its discretion, ask any Bidder for a clarification of its Bid. Any clarification submitted by a Bidder that is not in response to a request by the Employer shall not be considered. The Employer's request for clarification and the response shall be in writing. No change in the substance of the Technical Bid or prices in the Price Bid shall be sought, offered, or permitted, except to confirm the correction of arithmetic errors discovered by the Employer in the evaluation of the Price Bids, in accordance with ITB 33.
- 27.2 If a Bidder does not provide clarifications of its Bid by the date and time set in the Employer's request for clarification, its Bid may be rejected.

### **28. Deviations, Reservations, and Omissions**

- 28.1 During the evaluation of Bids, the following definitions apply:
- (a) "Deviation" is a departure from the requirements specified in the Bidding Document;
  - (b) "Reservation" is the setting of limiting conditions or withholding from complete acceptance of the requirements specified in the Bidding Document; and
  - (c) "Omission" is the failure to submit part or all of the information or documentation required in the Bidding Document.

### **29. Examination**

- 29.1 The Employer shall examine the Technical Bid to confirm that all

**of Technical Bids**

documents and technical documentation requested in ITB 11.2 have been provided, and to determine the completeness of each document submitted.

29.2 The Employer shall confirm that the following documents and information have been provided in the Technical Bid. If any of these documents or information is missing, the offer shall be rejected.

- (a) Letter of Technical Bid;
- (b) written confirmation of authorization to commit the Bidder;
- (c) Bid Security or Bid-Securing Declaration, if applicable; and
- (d) Technical Proposal in accordance with ITB 16.

**30. Responsiveness of Technical Bid**

30.1 The Employer's determination of a Bid's responsiveness is to be based on the contents of the bid itself, as defined in ITB11.

30.2 A substantially responsive Technical Bid is one that meets the requirements of the Bidding Document without material deviation, reservation, or omission. A material deviation, reservation, or omission is one that,

- (a) if accepted, would:
  - (i) affect in any substantial way the scope, quality, or performance of the Works specified in the Contract; or
  - (ii) limit in any substantial way, inconsistent with the Bidding Document, the Employer's rights or the Bidder's obligations under the proposed Contract; or
- (b) if rectified, would unfairly affect the competitive position of other Bidders presenting substantially responsive Bids.

30.3 The Employer shall examine the technical aspects of the Bid submitted in accordance with ITB 16, Technical Proposal, in particular, to confirm that all requirements of Section 6 (Employer's Requirements) have been met without any material deviation, reservation, or reservation.

30.4 If a Bid is not substantially responsive to the requirements of the Bidding Document, it shall be rejected by the Employer and may not subsequently be made responsive by correction of the material deviation, reservation, or omission.

**31. Nonmaterial Nonconformities**

31.1 Provided that a Bid is substantially responsive, the Employer may waive any nonconformities in the Bid that do not constitute a material deviation, reservation, or omission.

31.2 Provided that a Technical Bid is substantially responsive, the Employer may request that the Bidder submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities in the Technical Bid related to documentation requirements. Requesting information or documentation on such nonconformities shall not be related to any

aspect of the Price Bid. Failure of the Bidder to comply with the request may result in the rejection of its Bid.

- 31.3 Provided that a Technical Bid is substantially responsive, the Employer shall rectify quantifiable nonmaterial nonconformities related to the Bid Price. To this effect, the Bid Price shall be adjusted, for comparison purposes only, to reflect the price of a missing or non-conforming item or component. The adjustment shall be made using the method indicated in Section 3 (Evaluation and Qualification Criteria).

**32. Qualification of the Bidder**

- 32.1 The Employer shall determine to its satisfaction during the evaluation of Technical Bids whether Bidders meet the qualifying criteria specified in Section 3 (Evaluation and Qualification Criteria).
- 32.2 The determination shall be based upon an examination of the documentary evidence of the Bidder's qualifications submitted by the Bidder, pursuant to ITB 17.1.
- 32.3 An affirmative determination shall be a prerequisite for the opening and evaluation of a Bidder's Price Bid. A negative determination shall result into the disqualification of the Bid, in which event the Employer shall return the unopened Price Bid to the Bidder.

**33. Correction of Arithmetical Errors**

- 33.1 During the evaluation of Price Bids, the Employer shall correct arithmetical errors on the following basis:
- (a) If there is a discrepancy between the unit price and the total price that is obtained by multiplying the unit price and quantity, the unit price shall prevail and the total price shall be corrected, unless in the opinion of the Employer there is an obvious misplacement of the decimal point in the unit price, in which case the total price as quoted shall govern and the unit price shall be corrected.
  - (b) If there is an error in a total corresponding to the addition or subtraction of subtotals, the subtotals shall prevail and the total shall be corrected.
  - (c) If there is a discrepancy between the bid price in the Summary of Bill of Quantities and the bid amount in item (c) of the Letter of Price Bid, the bid price in the Summary of Bill of Quantities will prevail and the bid amount in item (c) of the Letter of Price Bid will be corrected.
  - (d) If there is a discrepancy between words and figures, the amount in words shall prevail, unless the amount expressed in words is related to an arithmetic error, in which case the amount in figures shall prevail subject to (a), (b) and (c) above.
- 33.2 If the Bidder that submitted the lowest evaluated bid does not accept the correction of errors, its Bid shall be disqualified and its bid security may be forfeited or its Bid-Securing Declaration executed.

**34. Conversion to Single Currency**

- 34.1 For evaluation and comparison purposes, the currency(ies) of the Bid shall be converted into a single currency as specified in the BDS.

**35. Margin of Preference**

35.1 Unless otherwise specified in the BDS, a margin of preference shall not apply.

**36. Evaluation of Price Bids**

36.1 The Employer shall use the criteria and methodologies listed in this Clause. No other evaluation criteria or methodologies shall be permitted.

36.2 To evaluate the Price Bid, the Employer shall consider the following:

- (a) the bid price, excluding Provisional Sums and the provision, if any, for contingencies in the Summary Bill of Quantities, but including Daywork items, where priced competitively;
- (b) price adjustment for correction of arithmetic errors in accordance with ITB 33.1;
- (c) price adjustment due to discounts offered in accordance with ITB 14.4;
- (d) converting the amount resulting from applying (a) to (c) above, if relevant, to a single currency in accordance with ITB 34;
- (e) adjustment for nonconformities in accordance with ITB 31.3; and
- (f) application of all the evaluation factors indicated in Section 3 (Evaluation and Qualification Criteria).

36.3 The estimated effect of the price adjustment provisions of the Conditions of Contract, applied over the period of execution of the Contract, shall not be taken into account in bid evaluation.

36.4 If this Bidding Document allows Bidders to quote separate prices for different contracts, and the award to a single Bidder of multiple contracts, the methodology to determine the lowest evaluated price of the contract combinations, including any discounts offered in the Letter of Price Bid, is specified in Section 3 (Evaluation and Qualification Criteria).

36.5 If the Bid, which results in the lowest Evaluated Bid Price, is seriously unbalanced or front loaded in the opinion of the Employer, the Employer may require the Bidder to produce detailed price analyses for any or all items of the Bill of Quantities, to demonstrate the internal consistency of those prices with the construction methods and schedule proposed. After evaluation of the price analyses, taking into consideration the schedule of estimated Contract payments, the Employer may require that the amount of the performance security be increased at the expense of the Bidder to a level sufficient to protect the Employer against financial loss in the event of default of the successful Bidder under the Contract.

**37. Comparison of Bids**

37.1 The Employer shall compare all substantially responsive Bids to determine the lowest evaluated Bid, in accordance with ITB 36.2.



**38. Employer's Right to Accept Any Bid, and to Reject Any or All Bids**

38.1 The Employer reserves the right to accept or reject any Bid, and to annul the bidding process and reject all Bids at any time prior to contract award, without thereby incurring any liability to Bidders. In case of annulment, all Bids submitted and specifically, bid securities, shall be promptly returned to the Bidders.

**Award of Contract**

**39. Award Criteria**

39.1 The Employer shall award the Contract to the Bidder whose offer has been determined to be the lowest evaluated Bid and is substantially responsive to the Bidding Document, provided further that the Bidder is determined to be qualified to perform the Contract satisfactorily.

**40. Notification of Award**

40.1 Prior to the expiration of the period of bid validity, the Employer shall notify the successful Bidder, in writing, that its Bid has been accepted.

40.2 At the same time, the Employer shall also notify all other Bidders of the results of the bidding. The Employer will publish in an English language newspaper or well-known freely accessible website the results identifying the bid and lot numbers and the following information: (i) name of each Bidder who submitted a Bid; (ii) bid prices as read out at bid opening; (iii) name and evaluated prices of each Bid that was evaluated; (iv) name of bidders whose bids were rejected and the reasons for their rejection; and (v) name of the winning Bidder, and the price it offered, as well as the duration and summary scope of the contract awarded. After publication of the award, unsuccessful Bidders may request in writing to the Employer for a debriefing seeking explanations on the grounds on which their Bids were not selected. The Employer shall promptly respond in writing to any unsuccessful Bidder who, after publication of contract award, requests a debriefing.

40.3 Until a formal contract is prepared and executed, the notification of award shall constitute a binding Contract.

**41. Signing of Contract**

41.1 Promptly after notification, the Employer shall send the successful Bidder the Contract Agreement.

41.2 Within 28 days of receipt of the Contract Agreement, the successful Bidder shall sign, date, and return it to the Employer.

**42. Performance Security**

42.1 Within 28 days of the receipt of notification of award from the Employer, the successful Bidder shall furnish the performance security in accordance with the conditions of contract, subject to ITB 36.5, using for that purpose the Performance Security Form included in Section 9 (Contract Forms), or another form acceptable to the Employer.

42.2 Failure of the successful Bidder to submit the above-mentioned Performance Security or to sign the Contract Agreement shall constitute sufficient grounds for the annulment of the award and forfeiture of the bid security or execution of the Bid-Securing Declaration. In that event, the Employer may award the Contract to the next lowest evaluated Bidder whose offer is substantially responsive and is determined by the Employer to be qualified to perform the

Contract satisfactorily.

42.3 The above provision shall also apply to the furnishing of a domestic preference security if so required.

## Section 2 - Bid Data Sheet

This Section consists of provisions that supplement the information or requirements included in Section 1 - Instructions to Bidders. It also include modification to ITB Clauses required to adapt to the e-procurement platform being used.

### A. General

<b>ITB 1.1</b>	The number of the Invitation for Bids (IFB) is: <b>SRCIP II/RFP/NH39-CP II/2017</b>
	The Employer is: <b>National Highways &amp; Infrastructure Development Corporation Ltd. (NHIDCL), a Government of India Undertaking.</b>
	The name of the international competitive bidding (ICB) is:  <b>Two Laning of Imphal – Moreh Section of NH 39 from Km 350.000 to Km 395.680 in the State of Manipur (Contract Package II)</b>
	The identification number of the ICB is: <b>SRCIP II-NHIDCL/IM–NH39–CP II</b>  The number and identification of lots comprising this ICB is: <b>None</b>
<b>ITB 2.1</b>	The Borrower is: <b>Government of India</b>
	The name of the Project is: <b>SASEC Road Connectivity Investment Program – Tranche II</b>
<b>ITB 3.2</b>	Replace as below:  Furthermore, Bidders shall be aware of the provision stated in Clause 3.9 of the Conditions of Contract in Part III.

### B. Contents of Bidding Documents

<b>ITB 6.1</b>	<p>Replace Clause 6.1 with the following:</p> <p>6.1 The Bidding Document consist of Parts I, II, and III, which include all the Sections indicated below, and should be read in conjunction with any Addenda issued in accordance with ITB 8.</p> <p><b>PART I Bidding Procedures</b>  Section 1 - Instructions to Bidders (ITB)  Section 2 - Bid Data Sheet (BDS)</p>
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	<p>Section 3 - Evaluation and Qualification Criteria (EQC)  Section 4 - Bidding Forms (BDF)  Section 5 - Eligible Countries (ELC)</p> <p><b>PART II Requirements</b>  Section 6 - Employer's Requirements (ERQ)</p> <p><b>PART III ENGINEERING PROCUREMENT AND CONSTRUCTION (EPC)  CONTRACT AND CONTRACT FORMS WITH MODIFICATIONS IN  CONSULTATION WITH ADB</b></p>
<b>ITB 6.3</b>	<p>Replace Clause 6.3 with the following:</p> <p>6.3 The Employer is not responsible for the completeness of the Bidding Document and their addenda, if they were not obtained directly from the source stated by the Employer in the IFB. The complete BID document can be viewed / downloaded by the Bidder from e-procurement portal of NIC <a href="https://eprocure.gov.in">https://eprocure.gov.in</a> or can be viewed at official website of NHIDCL i.e. <a href="http://www.nhidcl.com">http://www.nhidcl.com</a> as mentioned in critical date sheet in the IFB. For accessing/purchasing of BID Documents:</p> <p>(i) It is mandatory for all the bidders to have class-III Digital Signature Certificate (DSC) with signing &amp; encryption facility (in the name of person who will sign the proposal) from any of the licensed Certifying Agency (Bidders can see the list of licensed CAs from the link <a href="http://www.cca.gov.in">www.cca.gov.in</a>) to participate in e-tendering at <a href="https://eprocure.gov.in">https://eprocure.gov.in</a>. DSC should be in the name of the authorized signatory as authorized in the Format for Power of Attorney for signing of BID in Section 4 – Bidding Forms. It should be in corporate capacity (that is in Bidder capacity / in case of JV in the Lead Member capacity, as applicable). Please ensure the submission of document certifying the class III DSC.</p> <p>(ii) To participate in the E-Bid submission, it is mandatory for the bidders to register their firm/ Joint Venture with e-procurement portal of NIC <a href="https://eprocure.gov.in">https://eprocure.gov.in</a> to have a user ID &amp; password.</p> <p>Following may kindly be noted:</p> <p>(a) Registration with e-procurement portal of NIC <a href="https://eprocure.gov.in">https://eprocure.gov.in</a> should be valid at least up to the date of submission of BID.</p> <p>(b) BIDs can be submitted only during the validity of registration.</p> <p>(c) The amendments / clarifications to the BID document, if any, will be hosted on the e-procurement portal and NHIDCL website.</p> <p>(d) If the firm / Joint Venture is already registered with e-tendering service provider of NIC <a href="https://eprocure.gov.in">https://eprocure.gov.in</a> and validity of registration is not expired the firm / Joint Venture is not required a fresh registration.</p> <p>(e) The complete BID document can be viewed / downloaded by the Bidder from e-procurement portal of NIC <a href="https://eprocure.gov.in">https://eprocure.gov.in</a> from 24.08.2017 to 09.10.2017 (upto 17.00 Hrs.IST).</p> <p>(iii) To participate in e- bidding, Bidders have to pay Rs 50,000/- (Rupee Fifty thousand only) in the form of Demand Draft issued by a 'Scheduled Bank' as per</p>

	Reserve Bank of India Act 1934 or a reputable bank located outside India in favour of Managing Director, National Highways and Infrastructure Development Corporation Limited, New Delhi" payable at "New Delhi" towards the cost of Bid document (non-refundable) and BID Security is also to be furnished by the bidder as specified in Clause 19.3.
<b>ITB 7.1</b>	<p>For <b>clarification purposes</b> the Employer's contact is through the <b>e- tendering portal</b> <a href="https://eprocure.gov.in">https://eprocure.gov.in</a></p> <p>Clarifications sought from the prospective Bidder and response thereto will be uploaded on the e-tendering portal without identifying the source.</p> <p>The deadline for online submission of clarification sought is on <b>21.09.2017</b> upto 1700 Hrs IST.</p>
<b>ITB 7.4</b>	<p>A Pre-Bid meeting will take place.</p> <p><b>Pre-BID Meeting:</b></p> <p>The prospective Bidder's designated representative is invited at the Bidder's cost to attend a pre-BID meeting:</p> <p>Date: 15.09.2017, Time:1500 hours IST Venue: NHIDCL, Head Office, PTI Building 3rd floor, Parliament Street, New Delhi.</p> <p>During this pre-BID meeting, prospective Bidder's may request clarification of the project requirement or any other aspects of the Bidding Document.</p> <p>A site visit conducted by the Employer <b>will not</b> be organized. However, it is recommended to be undertaken by the bidder at its own cost.</p>
<b>ITB 7.6</b>	<p>Replace Clause 7.6 with the following:</p> <p>Minutes of the pre-BID meeting, including the text of the questions raised, without identifying the source, and the responses given, together with any responses prepared after the meeting, will be hosted on e-tendering platform, where the tender is published online. Any modification to the Bidding Document that may become necessary as a result of the pre-BID meeting shall be made by the Employer exclusively through the issue of an Addendum pursuant to ITB 8 and not through the minutes of the pre-bid meeting.</p>
<b>ITB 8.2</b>	<p>Replace Clause 8.2 with the following:</p> <p>Any Addendum issued shall be part of the Bidding Document and shall be published on e-tendering portal, where the tender is published online. The onus is on the bidder to visit the e-tendering portal to learn about the addendum and to submit its response as per the addendum published.</p>

### C. Preparation of Bids

<b>ITB 10.1</b>	The language of the Bid is: <b>English</b>
<b>ITB 11.2 (b)</b>	<p>Replace Clause 11.2 (b) with the following:</p> <p>Bidders shall upload a scanned copy of the Bid Security online in the e-tendering portal as pre-requisite for bid submission. The corresponding original document shall be submitted at the Employer's address indicated in the BDS before the date and time specified in the e-tendering portal for opening of the tender.</p>
<b>ITB 11.2 (g)</b>	<p>The Bidder shall submit with its Technical Bid the following additional documents:</p> <p>(a) Copy of Memorandum and Articles of Association, if the Applicant is a body corporate, and if a partnership then a copy of its partnership deed.</p> <p>(b) Copies of Applicant's duly audited balance sheet and profit and loss statement for preceding 5 Years.</p> <p>(c) An undertaking from the person having Power of Attorney for signing the BID that they agree and abide by the Bid documents uploaded by NHIDCL and amendments uploaded, if any</p> <p>(d) Demand Draft for Rs 50,000/- (Rupee Fifty thousand only) issued by a 'Scheduled Bank' as per Reserve Bank of India Act 1934 or a reputable bank located outside India in favour of Managing Director, National Highways and Infrastructure Development Corporation Limited, New Delhi" payable at "New Delhi" towards the cost of Bid document (non-refundable)</p> <p>The above documents shall be submitted electronically through <a href="https://eprocure.gov.in">https://eprocure.gov.in</a>.</p>
<b>ITB 11.3 (d)</b>	The Bidder shall submit with its Price Bid the following additional documents: <b>Not Applicable.</b>
<b>ITB 13.1</b>	Alternative Bids <b>shall not</b> be permitted.
<b>ITB 13.2</b>	Alternative times for completion <b>shall not</b> be permitted.

<b>ITB 13.4</b>	EPC Contract modality is being followed. Subject to the requirements in the relevant schedules and specifications, the bidder is expected to provide its design.
<b>ITB 14.2</b>	Not Applicable.
<b>ITB 14.3</b>	Not Applicable.
<b>ITB 14.4</b>	Not Applicable.
<b>ITB 14.5</b>	The prices quoted by the Bidder shall be: subject to adjustment during the performance of the Contract in accordance with the provisions of the conditions of contract. The indices shall be taken for this purpose as provided in the conditions of contract.
<b>ITB 15.1</b>	The price shall be quoted in <b>Indian Rupees (INR)</b> .
<b>ITB 15.4</b>	The rates of exchange shall be the selling rates 28 days prior to the deadline for submission of bids published by: <b>The Reserve Bank of India</b>
<b>ITB 18.1</b>	The bid validity period shall be <b>120 days</b> .
<b>ITB 19.1</b>	The Bidder shall furnish a bid security in the amount of <b>INR. 5.32 Crore (Rs Five Crore Thirty Two Lakh only)</b> or an equivalent amount in a freely convertible currency
<b>ITB 19.2</b>	The ineligibility period will be : <b>Not Applicable</b>
<b>ITB 19.3</b>	<p>Replace Clause 19.3 as follows:</p> <p>The bid security shall be, in the following form, valid up to 09.03.2018.</p> <p>An unconditional bank guarantee; Issued by a ‘Scheduled Bank’ as per Reserve Bank of India Act 1934 or a reputable bank located outside India. (If the institution issuing the bank guarantee or demand draft is located outside India, it shall have correspondent financial institution located in the territory of India and governed by Reserve Bank of India regulations). For bank guarantee, the bid security shall be submitted either using the Bid Security Form included in Section 4 (Bidding</p>

	Forms) or another form acceptable to the Employer. The form must include the complete name of the Bidder. The bid security shall be valid for twenty-eight days (28) beyond the original validity period of the bid indicated above, or beyond any period of extension if requested under ITB 18.2.
<b>ITB 19.4</b>	Any bid not accompanied by an irrevocable and callable bid security shall be rejected by the Employer as nonresponsive. If a Bidder submits a bid security that (i) deviates in form, amount, and/or period of validity, or (ii) does not provide sufficient identification of the Bidder (including, without limitation, failure to indicate the name of the Joint Venture or, where the Joint Venture has not yet been constituted, the names of all future Joint Venture Partners), the Employer shall request the Bidder to submit a compliant bid security within <b>14 (Fourteen) calendar days</b> of receiving such a request. Failure to provide a compliant bid security within the prescribed period of receiving such a request shall cause the rejection of the Bid.
<b>ITB 20.1</b>	<p>Replace Clause 20.1 with the following:</p> <p>20.1 The Bidder shall provide all the information sought under this Bidding document except price bid. The NHIDCL will evaluate only those BIDs that are received online in the required formats and complete in all respects as specified in Clause 21.1. The Bidder shall also submit documents supporting the BID physically as specified in Clause 21.2. The financial bid shall be submitted online only.</p>
<b>ITB 20.2</b>	<p>Replace Clause 20.2 with the following:</p> <p>The BID and its copy shall be typed or written in indelible ink and signed by the authorized signatory of the Bidder who shall also initial each page, in blue ink.</p> <p>All the alterations, omissions, additions or any other amendments made to the BID shall be signed or initialed by the person(s) signing the BID.</p> <p>The written confirmation of authorization to sign on behalf of the Bidder shall consist of: Power of Attorney as per the format given in Section 4, authorizing the signatory of the BID to commit the Bidder. In case the Bidder is a Joint Venture, the Members thereof should furnish a Power of Attorney in favor of the Lead Member in the format given in Section 4.</p> <p>If a Bidder submits a deficient authorization, the Bid shall not be rejected in the first instance. The Employer shall request the Bidder to submit an acceptable authorization within the number of days as specified in the BDS. Failure to provide an acceptable authorization within the prescribed period of receiving such a request shall cause the rejection of the Bid.</p>



	The Bidder shall submit an acceptable authorization within <b>14 (Fourteen) Calendar days</b> .
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### D. Submission and Opening of Bids

<b>ITB 21</b>	<p>Replace the entire Clause 21 with the following:</p> <p><b>21.1</b> The Bidder shall submit <b>the BID</b> (Technical Bid and Price Bid) online. However, the following supporting scanned documents as mentioned below are to be submitted along with technical bid only:</p> <p>(a) BID in the format specified in <b>Letter of Technical Bid and letter of Price Bid mentioned in Section 4</b> in Section 4</p> <p>(b) Power of Attorney for signing the BID as per format given in Section 4;</p> <p>(c) if applicable, Power of Attorney for Lead Member of Joint Venture as per the format in Section 4 of RFP;</p> <p>(d) Bidders Qualification information as per formats given in Section 4</p> <p>(e) Copy of BID Security of Rs 5.32 Crore (Rs Five Crore and Thirty Two Lakh only) in the form of Bank Guarantee in the format in Section 4 from the Scheduled Bank as specified in ITB 19.3</p> <p>(f) Copy of Cost of bid document of Rs 50,000/- (Rs. Fifty Thousand only) in the form of Demand Draft issued by a 'Scheduled Bank' as per Reserve Bank of India Act 1934 or a reputable bank located outside India in favour of Managing Director, National Highways and Infrastructure Development Corporation Limited, New Delhi payable at "New Delhi"</p> <p>(g) An undertaking from the person having Power of Attorney for signing the BID that they agree and abide by the Bid documents uploaded by NHIDCL and amendments uploaded, if any.</p> <p><b>21.2 The Original documents supporting the Technical Bid shall be submitted physically in a separate envelope marked as "Enclosures of the BID".</b> The documents shall include:</p> <p>(a) Following documents be bound together in hard cover and the pages shall be numbered serially. Each page thereof shall be initialed in ink blue by the authorized signatory of the bidder.</p> <p>i. Bidders Qualification information as per formats given in Section 4</p> <p>ii. Power of Attorney for signing the Application as per the format in Section 4;</p> <p>iii. if applicable, Power of Attorney for Lead Member of Joint Venture as per the format in Section 4 of RFP;</p>
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	<p>iv. BID Security of Rs 5.32 Crore (Rs Five Crore and Thirty Two Lakh only) in the form of Bank Guarantee in the format in Section 4 from the Scheduled Bank as specified in ITB 19.3.</p> <p>v. Copy of Cost of bid document of Rs 50,000/- (Rs. Fifty Thousand only) in the form of Demand Draft issued by a 'Scheduled Bank' as per Reserve Bank of India Act 1934 or a reputable bank located outside India in favour of Managing Director, National Highways and Infrastructure Development Corporation Limited, New Delhi payable at "New Delhi"</p> <p>vi. An undertaking from the person having Power of Attorney for signing the BID that they agree and abide by the Bid documents uploaded by NHIDCL and amendments uploaded, if any.</p> <p>(b) Cost of bid document of Rs 50,000/- (Rs. Fifty Thousand only) in the form of Demand Draft issued by a 'Scheduled Bank' as per Reserve Bank of India Act 1934 or a reputable bank located outside India in favour of Managing Director, National Highways and Infrastructure Development Corporation Limited, New Delhi payable at "New Delhi".</p> <p>"The Bidder shall take due precaution to upload technical bid documents and price bid document onto the respective envelopes defined in the e-Tendering platform."</p> <p><b>21.3 Deleted</b></p> <p><b>21.4</b> The two envelopes specified in Clauses 21.2 (a); 21.2(b) shall be placed in an outer envelope (in Physical form), which shall be sealed. Each of the three envelopes shall clearly bear the identification <b>"BID for the "Two Laning of Imphal – Moreh Section of NH 39 from Km 350.000 to Km 395.680 in the State of Manipur (Contract Package II) "</b> and shall clearly indicate the name and address of the Bidder. In addition, the BID Due Date should be indicated on the right hand top corner of each of the envelopes.</p>
<b>ITB 22.1</b>	<p>The BID submission is through the e-tendering portal <a href="https://eprocure.gov.in">https://eprocure.gov.in</a> as specified in Clause 21.1 and the documents supporting the BID documents to be submitted physically as specified in Clause 21.2.</p> <p>The Bidder shall submit its bid before expiry of the date and time for bid submission as specified in the e-tendering platform. The system will automatically lock the tender as per the server clock. The time followed in the portal is as per Indian Standard Time (IST) which is GMT + 5:30.</p> <p>For submitting <b>documents supporting the BID</b> as specified in Clause 21.2, the Employer's address is:</p> <p>ATTN.: <b>Col. Rajeev Sood,</b></p> <p>DESIGNATION: <b>GM (Tech)</b></p> <p>ADDRESS: National Highways and Infrastructure Development Corporation Limited 3rd Floor, PTI Building, 4, Parliament Street, New Delhi-110001 Tele Phone: 011-23461621 E-MAIL ADDRESS: gm5@nhidcl.com</p> <p><b>The deadline for bid submission - online (Clause 21.1) and supporting documents is:</b></p>

	<p>Date: 10.10.2017</p> <p>Time: 1500 hrs</p> <p><b>The deadline for bid submission - Physically only Technical (Clause 21.2) and supporting documents is:</b></p> <p>Date: 12.10.2017</p> <p>Time: 1500 hrs</p> <p>In the event of the specified date of the bid submission/opening being declared a holiday for the employer, the bids shall be received and opened at the same time and place on the next working day.</p> <p>The Summary of key dates are as follows :</p> <ul style="list-style-type: none"> <li>• Uploading of documents on website: 24.08.2017</li> <li>• Time and Date of Pre-Bid meeting: 15.09.2017, 1400 hours IST</li> <li>• Submit Bids online: 10.10.2017 1500 hours</li> <li>• Physical Submission of Bid supporting documents as in Clause 21.2: 12.10.2017, 1500 hours</li> <li>• Opening of the Technical Bid: 12.10.2017, 1530 hours.</li> </ul> <p>After evaluation of the technical bids, only successful bidders will be called for the opening of Financial bids.</p>
<b>ITB 24</b>	<p>Replace Clauses 24.1 and 24.2 with the following:</p> <p><b>24.1</b> The Bidder may modify, substitute or withdraw its e- BID after submission prior to the BID Due Date. No BID shall be modified, substituted or withdrawn by the Bidder on or after the BID Due Date and Time. For modification of e-BID, bidder has to detach its old BID from e-tendering portal (eprocure.gov.in) and upload / resubmit digitally signed modified BID. For withdrawal of BID, bidder has to click on withdrawal icon at e-tendering portal and can withdraw its e-BID. Before withdrawal of a BID, it may specifically be noted that after withdrawal of a BID for any reason, bidder cannot re-submit e-BID again.</p> <p><b>24.2</b> Any documents submitted by the bidder who withdraw the bid in accordance with ITB 24.1 shall be returned unopened to the Bidders.</p>
<b>ITB 25.1</b>	<p>The opening of the Technical Bid shall take place at:</p> <p>Conference Hall of NHIDCL National Highways and Infrastructure Development Corporation Limited 3rd Floor, PTI Building, 4, Parliament Street, New Delhi-110001</p> <p>Date: 12.10.2017, Time: 1530 hrs</p> <p>Electronic bid opening procedure shall be as follows:</p>

	<p>The NHIDCL shall open the BIDs received physically and online at the time specified on the BID Due Date, at the place specified in Clause 25.1 and in the presence of the Bidders who choose to attend. The NHIDCL shall prepare minutes of the BID opening, including information disclosed to those present at the time of BID opening. Only those physically received Envelopes shall be considered, whose E-BIDs has been received under e- procurement.</p> <p>For electronic bid submission, the envelope containing Technical Bid shall be opened only after physical verification of cost of ICB documents (demand draft as per ITB 21.2 (b)) and Bid Security (as per 19.3 and form in Section 4). In the absence of any of the above documents, the bid shall be rejected by the Employer as non-responsive.</p>
<b>ITB 25.5</b>	The Letter of Technical Bid shall be initialed by at least <b>2 (Two)</b> representatives of the Employer attending Bid opening.
<b>ITB 25.10</b>	Initials not required.

### **E. Evaluation and Comparison of Bids**

<b>ITB 30.1</b>	<p>Replace Clauses 30.1 with the following:</p> <p>The Employer's determination of a Bid's responsiveness is to be based on the contents of the bid itself, as defined in ITB11. A BID shall be considered responsive only if:</p> <ul style="list-style-type: none"> <li>(a) BID is received online as per the format of <b>Letter of the Technical Bid and Letter of Price Bid mentioned in Section 4;</b></li> <li>(b) 'Enclosures of the BID' is received physically and online by the BID Due Date;</li> <li>(c) it is signed, sealed and marked as stipulated in clauses 21;</li> <li>(d) it is accompanied by the BID Security as specified in Clause 19 and in the format in Section 4;</li> <li>(e) it is accompanied by the Power(s) of Attorney as specified in Clauses 21;</li> <li>(f) it contain all the information (complete in all respects) as requested in this RFP and/or Bidding Documents (in formats same as those specified);</li> <li>(g) it does not contain any condition or qualification; and</li> <li>(h) it is qualified as per qualification criteria.</li> </ul> <p>In case of discrepancies between on line and physical submissions of supporting documents as required in ITB 21, the BID shall be rejected.</p>
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<b>ITB 34.1</b>	<p>The currency that shall be used for bid evaluation and comparison purposes to convert all bid prices expressed in various currencies into a single currency is: <b>Indian Rupees (INR).</b></p> <p>The source of selling exchange rate shall be: <b>Reserve Bank of India</b></p> <p>The date for the selling exchange rate shall be: <b>28 days prior to date of bid submission.</b></p>
<b>ITB 35.1</b>	<p>A margin of preference <b>shall not</b> apply.</p>

# Section 3 - Evaluation and Qualification Criteria

## - Without Prequalification -

This Section contains all the criteria that the Employer shall use to evaluate bids and qualify Bidders. In accordance with ITB 32 and ITB 36, no other methods, criteria and factors shall be used. The Bidder shall provide all the information requested in the forms included in Section 4 (Bidding Forms).

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## 1. Evaluation

In addition to the criteria listed in ITB 36.2 (a)–(e), other relevant factors are as follows:

### 1.1 Adequacy of Technical Proposal

Evaluation of the Bidder's Technical Proposal will include an assessment of the Bidder's technical capacity to mobilize key equipment and personnel for the contract consistent with its proposal regarding work methods, scheduling, and material sourcing in sufficient detail and fully in accordance with the requirements stipulated in Section 6 (Employer's Requirements).

Non-compliance with equipment and personnel requirements described in Section 6 (Employer's Requirements) shall not normally be a ground for bid rejection and such non-compliance will be subject to clarification during bid evaluation and rectification prior to contract award.

### 1.2 Completion Time

**An alternative Completion Time, if permitted under ITB 13.2, will be evaluated as follows:**

Not Applicable

### 1.3 Technical Alternatives

**Technical alternatives, if permitted under ITB 13.4, will be evaluated as follows:**

Not Applicable for Bid Evaluation

1.4 Quantifiable Nonconformities and Omissions

**Subject to ITB 14.2 and ITB 36.2, the evaluated cost of quantifiable nonconformities including omissions, is determined as follows:**

**Pursuant to ITB 31.3, the cost of all quantifiable nonmaterial nonconformities shall be evaluated, including omissions in Daywork where competitively priced but excluding omission of prices in the Bill of Quantities. The Employer will make its own assessment of the cost of any nonmaterial nonconformities and omissions for the purpose of ensuring fair comparison of Bids.-**

Not applicable in EPC Contract

1.5 Domestic Preference

**If a margin of preference is provided for under ITB 35.1, the following procedure shall apply:** Not Applicable

1.6 Multiple Contracts

Not Applicable



## 2. Qualification

It is the legal entity or entities comprising the Bidder, and not the Bidder's parent companies, subsidiaries, or affiliates, that must satisfy the qualification criteria described below.

### 2.1 Eligibility

Criteria	Compliance Requirements				Documents
Requirement	Single Entity	Joint Venture			Submission Requirements
		All Partners Combined	Each Partner	One Partner	
2.1.1 Nationality					
Nationality in accordance with ITB Subclause 4.2.	must meet requirement	must meet requirement	must meet requirement	not applicable	Forms ELI - 1; ELI - 2 with attachments
2.1.2 Conflict of Interest					
No conflicts of interest in accordance with ITB Subclause 4.3.	must meet requirement	must meet requirement	must meet requirement	not applicable	Letter of Technical Bid
2.1.3 ADB Eligibility					
Not having been declared ineligible by ADB, as described in ITB Subclause 4.4.	must meet requirement	must meet requirement	must meet requirement	not applicable	Letter of Technical Bid
2.1.4 Government-Owned Entity					
Bidder required to meet conditions of ITB Subclause 4.5.	must meet requirement	must meet requirement	must meet requirement	not applicable	Forms ELI - 1; ELI - 2 with attachments
2.1.5 United Nations Eligibility					
Not having been excluded by an act of compliance with a United Nations Security Council resolution in accordance with ITB Subclause 4.7.	must meet requirement	must meet requirement	must meet requirement	not applicable	Letter of Technical Bid

## 2.2 Pending Litigation and Arbitration

Pending litigation and arbitration criterion shall apply.

### 2.2.1 Pending Litigation and Arbitration

Criteria	Compliance Requirements				Documents
Requirement	Single Entity	Joint Venture			Submission Requirements
		All Partners Combined	Each Partner	One Partner	
All pending litigation and arbitration, if any, shall be treated as resolved against the Bidder and so shall in total not represent more than <b>50 (Fifty) percent</b> of the Bidder's net worth calculated as the difference between total assets and total liabilities.	must meet requirement by itself or as partner to past or existing Joint Venture	not applicable	must meet requirement by itself or as partner to past or existing Joint Venture	not applicable	Form LIT - 1

## 2.3 Financial Situation

### 2.3.1 Historical Financial Performance

Criteria	Compliance Requirements				Documents
Requirement	Single Entity	Joint Venture			Submission Requirements
		All Partners Combined	Each Partner	One Partner	
Submission of audited financial statements or, if not required by the law of the Bidder's country, other financial statements acceptable to the Employer, for the last <b>five (5) years</b> to demonstrate the current soundness of the Bidder's financial position. As a minimum, the Bidder's net worth for the last year calculated as the difference between total assets and total liabilities should be positive.	must meet requirement	not applicable	must meet requirement	not applicable	Form FIN - 1 with attachments

### 2.3.2 Average Annual Construction Turnover

Criteria	Compliance Requirements				Documents
Requirement	Single Entity	Joint Venture			Submission Requirements
		All Partners Combined	Each Partner	One Partner	
Minimum average annual construction turnover of \$ 53.74 Million (INR 354.71 Crores) calculated as total certified payments received for contracts in progress or completed, within the last 5 (Five) years (updated to the price level of the year indicated in table below).	must meet requirement	must meet requirement	must meet 25% of the requirement	must meet 60% of the requirement	Form FIN - 2

Year	Year-1	Year-2	Year-3	Year-4	Year-5
Up-dation factor	1.00	1.05	1.10	1.15	1.20

## 2.3.3 Financial Resources

Criteria	Compliance Requirements				Documents
Requirement	Single Entity	Joint Venture			Submission Requirements
		All Partners Combined	Each Partner	One Partner	
<p>For Single Entities:</p> <p>The Bidder must demonstrate that its financial resources defined in FIN - 3, less its financial obligations for its current contract commitments defined in FIN - 4, meet or exceed the total requirement for the Subject Contract of US \$ 6.72 Million (INR 44.339 Crore)</p>	must meet requirement	not applicable	not applicable	not applicable	Form FIN – 3 and Form FIN – 4
<p>For Joint Ventures:</p> <p>(1) One partner must demonstrate that its financial resources defined in FIN - 3, less its financial obligations for its own current contract commitments defined in FIN - 4, meet or exceed its required share of 60% from the total requirement for the Subject Contract. AND</p>	not applicable	not applicable	not applicable	must meet requirement	Form FIN – 3 and Form FIN – 4
<p>(2) Each partner must demonstrate that its financial resources defined in FIN - 3, less its financial obligations for its own current contract commitments defined in FIN - 4, meet or exceed its required share of 25% from the total requirement for the Subject Contract. AND</p>	not applicable	not applicable	must meet requirement	not applicable	Form FIN – 3 and Form FIN – 4
<p>(3) The joint venture must demonstrate that the combined financial resources of all partners defined in FIN - 3, less all the partners' total financial obligations for the current contract commitments defined in FIN - 4, meet or exceed the total requirement for the Subject Contract of US \$ 6.725 Million (INR 44.339 Crore)</p>	not applicable	must meet requirement	not applicable	not applicable	Form FIN – 3 and Form FIN – 4

## 2.4 Construction Experience

### 2.4.1 Contracts of Similar Size and Nature

Criteria	Compliance Requirements				Documents
Requirement	Single Entity	Joint Venture			Submission Requirements
		All Partners Combined	Each Partner	One Partner	
Participation in at least one contract that has been successfully or substantially completed within the last 5 (Five) years and that is similar to the proposed works, where the value of the Bidder's participation exceeds \$ 64.50 Million. (INR 425.65 Crore) The similarity of the Bidder's participation shall be based on the physical size, nature of works, complexity, methods, technology or other characteristics as described in Section 6, Employer's Requirements.	must meet requirement	not applicable	not applicable	must meet requirement	Form EXP - 1

2.4.2 Construction Experience in Key Activities

(May be complied with by specialist subcontractors. The employer shall require evidence of the subcontracting agreement from the bidder. A specialist subcontractor is a specialist enterprise engaged for highly specialized processes, which the main contractor cannot provide.)

**Not Applicable**

Criteria	Compliance Requirements				Documents
Requirement	Single Entity	Joint Venture			Submission Requirements
		All Partners Combined	Each Partner	One Partner	
For the above or other contracts executed during the period stipulated in 2.4.1 above, a minimum construction experience in the following key activities:	must meet requirement	must meet requirement	not applicable	not applicable	Form EXP - 2

For the avoidance of doubt, financial year shall for the purpose mentioned here under mean the accounting year followed by the applicant in the course of its normal business.

# Section 4 - Bidding Forms

## - Without Prequalification -

This Section contains the forms to be completed by the Bidder and submitted as part of its Bid.

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# Letter of Technical Bid

**-Note-**

*The bidder must accomplish the Letter of Technical Bid on its letterhead clearly showing the bidder's complete name and address.*

Date: .....

ICB No.: SRCIPII-NHIDCL/MR-NH39-CP II

Invitation for Bid No.: SRCIPII/RFP/NH39-CP I/2017

To: **Col Rajeev Sood**  
**General Manager (Technical)**  
**National Highways and Infrastructure**  
**Development Corporation Limited**  
**3rd Floor, PTI Building,**  
**4, Parliament Street,**  
**New Delhi – 110001**

We, the undersigned, declare that:

- (a) We have examined and have no reservations to the Bidding Documents, including Addenda issued in accordance with Instructions to Bidders (ITB) 8.
- (b) We offer to execute in conformity with the Bidding Documents the following Works: [ . . .  
..insert narrative . . . ]
- (c) Our Bid consisting of the Technical Bid and the Price Bid shall be valid for a period of [ . . .  
..insert bid validity period as specified in ITB 18.1 of the BDS . . . ] days from the date fixed for the bid submission deadline in accordance with the Bidding Documents, and it shall remain binding upon us and may be accepted at any time before the expiration of that period.
- (d) Our firm, including any Subcontractors or Suppliers for any part of the Contract, have nationalities from eligible countries in accordance with ITB 4.2.
- (e) We, including any Subcontractors or Suppliers for any part of the contract, do not have any conflict of interest in accordance with ITB 4.3.
- (f) We are not participating, as a Bidder in more than one Bid in this bidding process in accordance with ITB 4.3(e), other than alternative offers submitted in accordance with ITB 13.

- (g) Our firm, its affiliates or subsidiaries, including any Subcontractors or Suppliers for any part of the contract, has not been declared ineligible by ADB, under the Employer's country laws or official regulations or by an act of compliance with a decision of the United Nations Security Council.
- (h) [We are not a government-owned enterprise] / [We are a government-owned enterprise but meet the requirements of ITB 4.5].<sup>2</sup>
- (i) We agree to permit ADB or its representative to inspect our accounts and records and other documents relating to the bid submission and to have them audited by auditors appointed by ADB.
- (j) If our Bid is accepted, we commit to mobilizing key equipment and personnel in accordance with the requirements set forth in Section 6 (Employer's Requirements) and our technical proposal, or as otherwise agreed with the Employer.

Name .....

In the capacity of .....

Signed .....

Duly authorized to sign the Bid for and on behalf of .....

Date .....

---

<sup>2</sup> Use one of the two options as appropriate.

## Letter of Price Bid

**-Note-**

*The bidder must accomplish the Letter of Price Bid on its letterhead clearly showing the bidder's complete name and address.*

Date: .....

ICB No.: SRCIPII-NHIDCL/MR-NH39-CP II

Invitation for Bid No.: SRCIPII/RFP/NH39-CP I/2017

To: **Col Rajeev Sood**  
**General Manager (Technical)**  
**National Highways and Infrastructure**  
**Development Corporation Limited**  
**3rd Floor, PTI Building,**  
**4, Parliament Street,**  
**New Delhi – 110001**

We, the undersigned, declare that:

- (a) We have examined and have no reservations to the Bidding Documents, including Addenda issued in accordance with Instructions to Bidders (ITB) 8.
- (b) We offer to execute in conformity with the Bidding Documents and the Technical Bid submitted for the following Works. [...insert narrative ...]
- (c) We hereby submit our BID and offer a BID Price online for undertaking the aforesaid Project in accordance with the Bidding Documents and the Agreement.)

[amount of foreign currency in words], [amount in figures], and [amount of local currency in words], [amount in figures]

- (d) Our Bid shall be valid for a period of [...insert bid validity period as specified in ITB 18.1 of the BDS ...] days from the date fixed for the bid submission deadline in accordance with the Bidding Documents, and it shall remain binding upon us and may be accepted at any time before the expiration of that period.

(e) If our Bid is accepted, we commit to obtain a performance security in accordance with the Bidding Documents.

(f) We have paid, or will pay the following commissions, gratuities, or fees with respect to the bidding process or execution of the Contract.<sup>3</sup>

Name of Recipient	Address	Reason	Amount
.....	.....	.....	.....
.....	.....	.....	.....

(g) We understand that this bid, together with your written acceptance thereof included in your notification of award, shall constitute a binding contract between us, until a formal contract is prepared and executed.

(h) We understand that you are not bound to accept the lowest evaluated bid or any other bid that you may receive.

(i) We agree to permit ADB or its representative to inspect our accounts and records and other documents relating to the bid submission and to have them audited by auditors appointed by ADB.

Name .....

In the capacity of .....

Signed .....

Duly authorized to sign the Bid for and on behalf of .....

Date .....

---

<sup>3</sup> If none has been paid or is to be paid, indicate "None".

## Bid Security Bank Guarantee

..... *Bank's name, and address of issuing branch or office*<sup>4</sup> .....

**Beneficiary:** ..... *Name and address of the employer* .....

**Date:** .....

**Bid Security No.:** .....

We have been informed that ..... *name of the bidder* ..... (hereinafter called "the Bidder") has submitted to you its bid dated ..... (hereinafter called "the Bid") for the execution of ..... *name of contract* ..... under Invitation for Bids No. .... ("the IFB").

Furthermore, we understand that, according to your conditions, bids must be supported by a bid guarantee.

At the request of the Bidder, we ..... *name of bank* ..... hereby irrevocably undertake to pay you any sum or sums not exceeding in total an amount of ..... *amount in words* ..... ( ..... *amount in figures* ..... ) upon receipt by us of your first demand in writing accompanied by a written statement stating that the Bidder is in breach of its obligation(s) under the bid conditions, because the Bidder

- (a) has withdrawn its Bid during the period of bid validity specified by the Bidder in the Letters of Technical and Price Bid; or
- (b) does not accept the correction of errors in accordance with the Instructions to Bidders (hereinafter "the ITB"); or
- (c) having been notified of the acceptance of its Bid by the Employer during the period of bid validity, (i) fails or refuses to execute the Contract Agreement, or (ii) fails or refuses to furnish the performance security, in accordance with the ITB, or (iii) fails or refuses to furnish a domestic preference security, if required.

This guarantee will expire (a) if the Bidder is the successful Bidder, upon our receipt of copies of the Contract Agreement signed by the Bidder and the Performance Security issued to you upon the instruction of the Bidder; or (b) if the Bidder is not the successful Bidder, upon the earlier of (i) our receipt of a copy of your notification to the Bidder of the name of the successful Bidder, or (ii) 28 days after the expiration of the Bidder's bid.

Consequently, any demand for payment under this guarantee must be received by us at the office on or before that date.

This guarantee is subject to the Uniform Rules for Demand Guarantees, ICC Publication No. 458.<sup>5</sup>

<sup>4</sup> All italicized text is for use in preparing this form and shall be deleted from the final document.

<sup>5</sup> Or 758 as applicable.

.....Authorized signature(s) and bank's seal (where appropriate) .....

---

**-- Note --**

*In case of a joint venture, the bid security must be in the name of all partners to the joint venture that submits the bid.*

## Bid-Securing Declaration

Date: *[insert date (as day, month and year)]*

Bid No.: *[insert number of bidding process]*

Alternative No.: *[insert identification No if this is a bid for an alternative]*

To: *[insert complete name of the employer]*

We, the undersigned, declare that:

We understand that, according to your conditions, Bids must be supported by a Bid-Securing Declaration.

We accept that we will automatically be suspended from being eligible for bidding in any contract with the Borrower for the period of time of . . . *[insert number of years as indicated in ITB 19.2 of the BDS]*. . . . starting on the date that we receive a notification from the Employer, if we are in breach of our obligation(s) under the bid conditions, because we

- (a) have withdrawn our Bid during the period of bid validity specified in the Letters of Technical and Price Bid; or
- (b) do not accept the correction of errors in accordance with the Instructions to Bidders (hereinafter "the ITB"); or
- (c) having been notified of the acceptance of our Bid by the Employer during the period of bid validity, (i) fail or refuse to execute the Contract, if required; or (ii) fail or refuse to furnish the Performance Security, in accordance with the ITB; or (iii) fail or refuse to furnish a domestic preference security, if required.

We understand this Bid-Securing Declaration shall expire if we are not the successful Bidder, upon the earlier of (i) our receipt of your notification to us of the name of the successful Bidder; or (ii) 28 days after the expiration of our Bid.

Signed: *[insert signature of person whose name and capacity are shown]*

In the capacity of *[insert legal capacity of person signing the Bid-Securing Declaration]*

Name: *[insert complete name of person signing the Bid-Securing Declaration]*

Duly authorized to sign the bid for and on behalf of *[insert complete name of the bidder]*

Dated on \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_ *[insert date of signing]*

Corporate Seal *[where appropriate]*

**-- Note --**

*In case of a joint venture, the Bid-Securing Declaration must be in the name of all partners to the joint venture that submits the bid.*



# Technical Proposal

**Personnel**

**Equipment**

**Site Organization**

**Method Statement**

**Mobilization Schedule**

**Construction Schedule**

## Personnel

### Form PER – 1: Proposed Personnel

Bidder should provide the details of the proposed personnel and their experience record in the relevant Information Forms below for each candidate:

1.	Title of position*
	Name
2.	Title of position*
	Name
3.	Title of position*
	Name
4.	Title of position*
	Name
5.	Title of position*
	Name
6.	Title of position*
	Name
etc.	Title of position*
	Name

**-- Note --**

\* As listed in Section 6 (Employer's Requirements).

## Form PER – 2: Résumé of Proposed Personnel

The Bidder shall provide all the information requested below. Use one form for each position.

<b>Position</b>		
<b>Personnel information</b>	<b>Name</b>	<b>Date of birth</b>
	<b>Professional qualifications</b>	
<b>Present employment</b>	<b>Name of employer</b>	
	<b>Address of employer</b>	
	<b>Telephone</b>	<b>Contact (manager / personnel officer)</b>
	<b>Fax</b>	<b>E-mail</b>
	<b>Job title</b>	<b>Years with present employer</b>

Summarize professional experience in reverse chronological order. Indicate particular technical and managerial experience relevant to the project.

[illegible]

## Equipment

### Form EQU: Equipment

The Bidder shall provide adequate information and details to demonstrate clearly that it has the capability to meet the equipment requirements indicated in Section 6 (Employer's Requirements), using the Forms below. A separate Form shall be prepared for each item of equipment listed, or for alternative equipment proposed by the Bidder.

<b>Item of Equipment</b>		
<b>Equipment Information</b>	<b>Name of manufacturer</b>	<b>Model and power rating</b>
	<b>Capacity</b>	<b>Year of manufacture</b>
<b>Current Status</b>	<b>Current location</b>	
	<b>Details of current commitments</b>	
<b>Source</b>	Indicate source of the equipment <input type="checkbox"/> Owned <input type="checkbox"/> Rented <input type="checkbox"/> Leased <input type="checkbox"/> Specially manufactured	

Omit the following information for equipment owned by the Bidder.

<b>Owner</b>	<b>Name of owner</b>	
	<b>Address of owner</b>	
	<b>Telephone</b>	<b>Contact name and title</b>
	<b>Fax</b>	<b>Telex</b>
<b>Agreements</b>	<b>Details of rental / lease / manufacture agreements specific to the project</b>	

## **Site Organization**

## **Method Statement**

## **Mobilization Schedule**

## **Construction Schedule**

## **Bidders Qualification**

To establish its qualifications to perform the contract in accordance with Section 3 (Evaluation and Qualification Criteria) the Bidder shall provide the information requested in the corresponding Information Sheets included hereunder.

**Form ELI - 1: Bidder's Information Sheet**

<b>Bidder's Information</b>	
<b>Bidder's legal name</b>	
<b>In case of a Joint Venture, legal name of each partner</b>	
<b>Bidder's      country      of constitution</b>	
<b>Bidder's      year      of constitution</b>	
<b>Bidder's legal address in country of constitution</b>	
<b>Bidder's authorized representative</b> (name, address, telephone number(s), fax number(s), e- mail address)	
<p><b>Attached are copies of the following documents.</b></p> <p><input type="checkbox"/> 1. In case of a single entity, articles of incorporation or constitution of the legal entity named above, in accordance with ITB 4.1 and ITB 4.2.</p> <p><input type="checkbox"/> 2. Authorization to represent the firm or Joint Venture named above, in accordance with ITB 20.2.</p> <p><input type="checkbox"/> 3. In case of a Joint Venture, a letter of intent to form a Joint Venture or Joint Venture agreement, in accordance with ITB 4.1.</p> <p><input type="checkbox"/> 4. In case of a government-owned enterprise, any additional documents not covered under 1 above required to comply with ITB 4.5.</p>	

**Form ELI - 2: Joint Venture Information Sheet**

Each member of the Joint Venture and Specialist Subcontractor must fill out this form separately.

Joint Venture / Specialist Subcontractor Information	
Bidder's legal name	
Joint Venture Partner's or Specialist Subcontractor's legal name	
Joint Venture Partner's or Specialist Subcontractor's country of constitution	
Joint Venture Partner's or Specialist Subcontractor's year of constitution	
Joint Venture Partner's or Specialist Subcontractor's legal address in country of constitution	
Joint Venture Partner's or Specialist Subcontractor's authorized representative information  (name, address, telephone number(s), fax number(s), e-mail address)	



**Attached are copies of the following documents.**

- ☐ 1. Articles of incorporation or constitution of the legal entity named above, in accordance with ITB 4.1 and ITB 4.2.
- ☐ 2. Authorization to represent the firm named above, in accordance with ITB 20.2.
- ☐ 3. In the case of a government-owned enterprise, documents establishing legal and financial autonomy and compliance with commercial law, in accordance with ITB 4.5.

A Specialist Subcontractor is a specialist enterprise engaged for highly specialized processes that cannot be provided by the main Contractor.

**Form LIT - 1: Pending Litigation and Arbitration**

Each Bidder must fill out this form if so required under Criterion 2.2 of Section 3 (Evaluation and Qualification Criteria) to describe any pending litigation or arbitration formally commenced against it.

In case of a Joint Venture, each Joint Venture Partner must fill out this form separately and provide the Joint Venture Partner's name below:

Joint Venture Partner: \_\_\_\_\_

Pending Litigation and Arbitration			
<b>Choose one of the following:</b>			
<input type="checkbox"/> No pending litigation and Arbitration.			
<input type="checkbox"/> Below is a description of all pending litigation and Arbitration involving the Bidder (or each Joint Venture member if Bidder is a Joint Venture).			
Year	Matter in Dispute	Value of Pending Claim in \$ Equivalent	Value of Pending Claim as a Percentage of Net Worth

**- Note -**

*This form shall only be included if Criterion 2.2 of Section 3 (Evaluation and Qualification Criteria) is applicable.*

## Form FIN - 1: Historical Financial Performance

Each Bidder must fill out this form.

In case of a Joint Venture, each Joint Venture Partner must fill out this form separately and provide the Joint Venture Partner's name below:

Joint Venture Partner: \_\_\_\_\_

Financial Data for Previous ..... Years [\$ Equivalent]		
Year 1:	Year 2:	Year __:

### Information from Balance Sheet

Total Assets (TA)			
Total Liabilities (TL)			
Net Worth = TA – TL			
Current Assets (CA)			
Current Liabilities (CL)			
Working Capital = CA - CL			

Most Recent Working Capital		To be obtained for most recent year and carried forward to FIN - 3 Line 1; in case of Joint Ventures, to the corresponding Joint Venture Partner's FIN – 3.
-----------------------------	--	-------------------------------------------------------------------------------------------------------------------------------------------------------------

### Information from Income Statement

Total Revenues			
Profits Before Taxes			
Profits After Taxes			

- ☐ Attached are copies of financial statements (balance sheets including all related notes and income statements) for the last \_\_\_\_ years, as indicated above, complying with the following conditions:
- Unless otherwise required by Section 3 of the Bidding Document, all such documents reflect the financial situation of the legal entity or entities comprising the Bidder and not the Bidder's parent companies, subsidiaries, or affiliates.
  - Historical financial statements must be audited by a certified accountant.
  - Historical financial statements must be complete, including all notes to the financial statements.
  - Historical financial statements must correspond to accounting periods already completed and audited (no statements for partial periods shall be requested or accepted).

**Form FIN - 2: Average Annual Construction Turnover**

*Each Bidder must fill out this form.*

*The information supplied should be the Annual Turnover of the Bidder or each member of a Joint Venture in terms of the amounts billed to clients for each year for work in progress or completed, converted to US dollars at the rate of exchange at the end of the period reported.*

In case of a Joint Venture, each Joint Venture Partner must fill out this form separately and provide the Joint Venture Partner's name below:

Joint Venture Partner: \_\_\_\_\_

Annual Turnover Data for the Last ..... Years (Construction only)			
Year	Amount Currency	Exchange Rate	\$ Equivalent

Average Annual Construction Turnover

**Form FIN – 3: Availability of Financial Resources**

Bidders must demonstrate sufficient financial resources, usually comprising of Working Capital supplemented by credit line statements or overdraft facilities and others to meet the Bidder's financial requirements for

- (a) its current contract commitments, and
- (b) the subject contract.

In case of a Joint Venture, each Joint Venture Partner must fill out this form separately and provide the Joint Venture Partner's name below:

Joint Venture Partner: \_\_\_\_\_

Financial Resources		
No.	Source of financing	Amount (\$ equivalent)
1	Working Capital (to be taken from FIN - 1)	
2	Credit Line <sup>a</sup>	
3	Other Financial Resources	
Total Available Financial Resources		

<sup>a</sup> To be substantiated by a letter from the bank issuing the line of credit.

**Form FIN- 4: Financial Requirements for Current Contract Commitments**

Bidders (or each Joint Venture partner) should provide information on their current commitments on all contracts that have been awarded, or for which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which an unqualified, full completion certificate has yet to be issued.

In case of a Joint Venture, each Joint Venture Partner must fill out this form separately and provide the Joint Venture Partner's name below:

Joint Venture Partner: \_\_\_\_\_

Current Contract Commitments						
	Name of Co ntr act	Employer 's Contact (Address, Tel, Fax)	Contract Completion Date	Outstanding Contract Value (X) <sup>a</sup>	Remaining Contract Period in months (Y) <sup>b</sup>	Monthly Financial Resources Requirement (X / Y)
1						
2						
3						
4						
Total Monthly Financial Requirement for Current Contract Commitments						\$ .....

<sup>a</sup> Remaining outstanding contract values to be calculated from 28 days prior to the bid submission deadline (\$ equivalent based on the foreign exchange rate as of the same date).

<sup>b</sup> Remaining contract period to be calculated from 28 days prior to bid submission deadline.



**Form FIN - 5: Self-Assessment Tool for Bidder's Compliance to Financial Resources  
(Criterion 2.3.3 of Section 3)**

This form requires the same information submitted in Forms FIN - 3 and FIN - 4. All conditions of "Available Financial Resources Net of CCC  $\geq$  Requirement for the Subject Contract" must be satisfied to qualify.

**Form FIN - 5A: For Single Entities**

For Single Entities: (A)	Total Available Financial Resources from FIN – 3 (B)	Total Monthly Financial Requirement for Current Contract Commitments (CCC) from FIN – 4 (C)	Available Financial Resources Net of CCC $D = (B - C)$	Requirement for the Subject Contract (E)	Results: Yes or No [D must be greater than or equal to E] (F)
_____ (Name of Bidder)				US \$ 22.0 Million. or INR 144.0 Crores	

**Form FIN - 5B: For Joint Ventures**

For Joint Ventures: (A)	Total Available Financial Resources from FIN – 3 (B)	Total Monthly Financial Requirement for Current Contract Commitments (CCC) from FIN – 4 (C)	Available Financial Resources Net of CCC $D = (B - C)$	Requirement for the Subject Contract (E)	Results: Yes or No [D must be greater than or equal to E] (F)
One Partner:					
_____ (Name of Partner)				US \$ 13.2 Million Or INR 86.40 Crores	
Each Partner:					
_____ (Name of Partner 1)				US \$ 5.5 Million Or INR 36.30 Crores	
_____ (Name of Partner 2)				US \$ 5.5 Million Or INR 36.30 Crores	
_____ (Name of Partner 3)				US \$ 5.5 Million Or INR 36.30 Crores	
All partners combined	$\Sigma D =$ Sum of available financial resources net of current contract commitments for all partners		$\Sigma D =$ _____	US \$13.2 Million. or INR 86.40 Crore	

Form FIN – 5 is made available for use by the bidder as a self-assessment tool, and by the employer as an evaluation work sheet, to determine compliance with the financial resources requirement as stated in 2.3.3. Failure to submit Form FIN - 5 by the Bidder shall not lead to bid rejection.

**Form EXP – 1: Contracts of Similar Size and Nature**

Fill out one (1) form per contract.

Contract of Similar Size and Nature		
Contract No . . . . . of . . . . .	Contract Identification	
Award Date		Completion Date
Total Contract Amount	\$	
If partner in a Joint Venture or subcontractor, specify participation of total contract amount	Percent of Total	Amount
Employer's name Address Telephone number Fax number E-mail		
Description of the Similarity in Accordance with Criterion 2.4.1 of Section 3 (Evaluation and Qualification Criteria)		

**Form EXP - 2: Construction Experience in Key Activities**

Fill out one (1) form per contract.

Contract with Similar Key Activities		
Contract No . . . . . of . . . . .	Contract Identification	
Award Date		Completion Date
Total Contract Amount	\$	
If partner in a Joint Venture or subcontractor, specify participation of total contract amount	Percent of Total	Amount
Employer's name Address Telephone number Fax number E-mail		
Description of the Key Activities in Accordance with Criterion 2.4.2 of Section 3 (Evaluation and Qualification Criteria)		

# Schedules

## Schedule of Payment Currencies

For .....insert name of Section of the Works .....

Separate tables may be required if the various sections of the Works (or of the Bill of Quantities) will have substantially different foreign and local currency requirements. In such a case, the Employer should prepare separate tables for each Section of the Works.

	A	B	C	D
Name of Payment Currency	Amount of Currency	Rate of Exchange to Local Currency	Local Currency Equivalent $C = A \times B$	Percentage of Net Bid Price (NBP) $\frac{100 \times C}{NBP}$
Local Currency		1.00		
Foreign Currency #1				
Foreign Currency #2				
Foreign Currency #3				
Net Bid Price				100.00
Provisional Expressed in Sums Currency Local		1.00		
BID PRICE				

### - Note -

The rates of exchange shall be the selling rates 28 days prior to the deadline for submission of bids published by the source specified in BDS 15.

**Tables of Adjustment Data**

To be entered by the bidder

**Table A - Local Currency**

Index Code	Index Description	Source of Index	Base Value and Date	Bidder's Local Currency Amount	Bidder's Proposed Weighting
	Nonadjustable	—	—	—	A: 0.15 _____ B: _____ C: _____ D: _____ E: _____
Total					1.00

**Table B - Foreign Currency****Name of Currency:** .....

*Insert name of currency. If the bidder wishes to quote in more than one foreign currency, but in no case more than three, this table should be repeated for each foreign currency.*

To be entered by the bidder

Index Code	Index Description	Source of Index	Base Value and Date	Bidder's Currency in Type/Amount	Equivalent in FC1	Bidder's Proposed Weighting
	Nonadjustable	—	—	—		A: 0.15 _____ B: _____ C: _____ D: _____ E: _____
Total						1.00

**- Note -**

*As per GCC 1.1.3.1, "Base Date" means the date 28 days prior to the latest date for submission of the bid.*

*Tables of Adjustment Data shall only be included if prices are to be quoted as adjustable prices in accordance with ITB 14.5.*

# Bill of Quantities

NOT APPLICABLE

## **Section 5 - Eligible Countries**

This Section contains the list of eligible countries.

For list of eligible countries refer to <https://www.adb.org/about/members>

# Section 6 - Employer’s Requirements

This Section contains the Specifications, Drawings, Supplementary Information that describe the Works to be procured, Personnel Requirements, and Equipment Requirements.

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# Scope of Works

## 1.0 Scope of Work

The selected Bidder (the “Contractor”) shall be responsible for designing, engineering, procurement and construction of the Project under and in accordance with the provisions of an engineering, procurement and construction contract (the “EPC Contract”) to be entered into between the Contractor and the Authority in the form provided by the Authority as part of the Bidding Documents (**Bidding Document Part III EPC Contract and Contract Forms**) pursuant hereto. The Contractor shall also be responsible for the maintenance of the project during the Defect Liability Period.

The scope of work will broadly include rehabilitation and capacity augmentation of the existing two lane carriageway to four lane carriageway standards with construction of new pavement, rehabilitation of existing pavement, construction and/or rehabilitation of major and minor bridges, culverts, road intersections, interchanges, drains, etc. Defects Liability Period shall be 5 years including maintenance of the Project for a period of 5 (Five) years.

## 2.0 Project Report and Drawings

The extracts from Project Report and Drawings are being provided only as a preliminary reference document by way of assistance to the Bidders who are expected to carry out their own surveys, investigations and other detailed examination of the Project before submitting their Bids. Nothing contained in the Project Report and Drawings shall be binding on the Authority nor confer any right on the Bidders, and the Authority shall have no liability whatsoever in relation to or arising out of any or all contents of the Project Report and Drawings.

## 3.0 Bid Documents, Project Reports and Drawings shall remain property of the Employer

The documents including this RFP and all attached documents, provided by the Authority (Employer) are and shall remain or become the property of the Authority and are transmitted to the Bidders solely for the purpose of preparation and the submission of a BID in accordance herewith. Bidders are to treat all information as strictly confidential and shall not use it for any purpose other than for preparation and submission of their BID. The provisions of this Clause shall also apply mutatis mutandis to BIDs and all other documents submitted by the Bidders, and the Authority will not return to the Bidders any BID, document or any information provided along therewith.

## 4.0 Site visit and verification of information

4.1 Bidders are encouraged to submit their respective BIDs after visiting the Project site and ascertaining for themselves the site conditions, traffic, location, surroundings, climate, availability of power, water & other utilities for construction, access to site, handling and storage of materials, weather data, applicable laws and regulations, and any other matter considered relevant by them.

4.2 It shall be deemed that by submitting a BID, the Bidder has:

- a) made a complete and careful examination of the Bidding Documents;
- b) received all relevant information requested from the Authority;

- c) Accepted the risk of inadequacy, error or mistake in the information provided in the Bidding Documents or furnished by or on behalf of the Authority relating to any of the matters which has an effect on project implementation. No claim shall be admissible at any stage on this account.
- d) satisfied itself about all matters, things and information including details given in project reports and drawings necessary and required for submitting an informed BID, execution of the Project in accordance with the Bidding Documents and performance of all of its obligations thereunder;
- e) acknowledged and agreed that inadequacy, lack of completeness or incorrectness of information provided in the Bidding Documents or project reports and drawings or ignorance of any of the matters referred herein above shall not be a basis for any claim for compensation, damages, extension of time for performance of its obligations, loss of profits etc. from the Employer, or a ground for termination of the Agreement by the Contractor;
- f) acknowledged that it does not have a Conflict of Interest; and
- g) Agreed to be bound by the undertakings provided by it under and in terms hereof.

4.3 The Authority shall not be liable for any omission, mistake or error in respect of any of the above or on account of any matter or thing arising out of or concerning or relating to RFP, RFQ, the Bidding Documents or the Bidding Process, including any error or mistake therein or in any information or data given by the Authority.

## **Specifications**

**Provisions of the EPC Contract in Section III will be applicable**

## **Drawings**

**Provisions of the EPC Contract in Section III will be applicable**

## Supplementary Information Regarding Works to Be Procured

Following supplementary information is available at the NHIDCL for inspection:

- Detailed Project Report (DPR)
- Initial Environmental Examination (IEE)

These reports are only for information purposes and does not form part of the bid. However, the measures of the EMP, have to be adhered to.

Sl.	Environmental Issue	Location/ Sources	Mitigation Measures	Monitoring Indicators	Monitoring Methods	Implementing Agency	Supervising & Monitoring Agency
<b>PRE-CONSTRUCTION PHASE</b>							
1.	Forest clearance	Forest locations	<ul style="list-style-type: none"> <li>Obtain forest clearance before commencing work on the road sections located in the forest areas.</li> <li>Coordinate with forest department for clearing of forest areas.</li> <li>Avoid vegetation clearing as much as possible.</li> </ul>	Forest Clearance papers, Forest areas diversion	Review documents, Observations	Forest Dept. / PIU	ADB/MoRTH
2.	Tree cutting	Cutting of about 3691 nos. trees during site clearance	<ul style="list-style-type: none"> <li>Restricting tree cutting within construction limit.</li> <li>Avoiding tree cutting at ancillary sites.</li> <li>Providing and maintaining compensatory tree plantation of 11073 numbers i.e. three times of cutting.</li> </ul>	No. of trees to be cut	Observations	Forest Dept. / PIU	PIU
3.	Removal of utilities	Work site clearance	<ul style="list-style-type: none"> <li>Necessary planning and coordination with concerned authority and local body.</li> <li>Prior notice to and consultation with concerned authority, local body and public to be affected so as to ensure that work does not get affected and impact on public is minimum.</li> </ul>	Utility shifting plan	Observations	Concerned utility agencies / PIU	Authority's Engineer/ PIU
4.	Religious places	Work site	<ul style="list-style-type: none"> <li>Suitable mitigation measures have been incorporated in Social report.</li> </ul>	Resettlement Plan	Observations	PIU	Authority's Engineer/PIU
5.	Air, Water and Noise quality	Sensitive locations	<ul style="list-style-type: none"> <li>Undertake monitoring of water, air and noise quality at sensitive locations prior to start of the construction work.</li> </ul>	Parameters as per GOI requirements	Sampling	Contractor	Authority's Engineer/PIU
<b>CONSTRUCTION PHASE</b>							
1.	Air Pollution	Construction plants, equipment and vehicles	Refer Annex 5 and Annex 6	SPM, PM10, vehicle maintenance record	SPM, PM10 Measurement	Contractor	Authority's Engineer/PIU
		Temporary diversion	<ul style="list-style-type: none"> <li>Maintaining diversion and detour for road traffic in good shape and traffic regulated.</li> </ul>	Complaints from local residents	Observations	Contractor	Authority's Engineer/PIU

Sl.	Environmental Issue	Location/ Sources	Mitigation Measures	Monitoring Indicators	Monitoring Methods	Implementing Agency	Supervising & Monitoring Agency
			<ul style="list-style-type: none"> <li>Regular sprinkling of water, as necessary.</li> </ul>				
		Dust during earth works or from spoil dumps	<ul style="list-style-type: none"> <li>Maintaining adequate moisture at surface of any earthwork layer completed or non-completed unless and until base course is applied, to avoid dust emission.</li> <li>Stockpiling spoil at designated areas and at least 5 m away from traffic lane.</li> </ul> Refer Annex 7	Dust pollution, Complaints from local residents	Observations, public discussions	Contractor	Authority's Engineer/PIU
		Borrow pits and haul road	Refer Annex 8	SPM, PM10, Dust pollution, Complaints from local residents	Measurement Observations, public discussions	Contractor	Authority's Engineer/PIU
		Storage of construction materials	Sprinkling of water as necessary.	Dust pollution, Complaints from local residents	Observations, public discussions	Contractor	Authority's Engineer/PIU
2.	Water Pollution	Construction of Bridges or Culverts – Earthwork and marginal spillage of construction materials causing temporary turbidity and suspended solids	<ul style="list-style-type: none"> <li>Constructing and maintaining diversion channel, sedimentation basin, dykes, etc. as may be required to temporarily channelize water flow of streams / river.</li> <li>Storage of construction material and excavated soil above high flood level.</li> </ul>	Placement and no. of slabs, hume pipe/ bridge height, Total solids and turbidity level	Review of design document, turbidity level check	Contractor	Authority's Engineer/PIU
		Construction vehicles	<ul style="list-style-type: none"> <li>Strictly avoiding cleaning / washing of construction vehicle in any water body.</li> </ul>	Equipment/ vehicle maintenance record	Review records, site visit and observations	Contractor	Authority's Engineer/PIU
		Soil erosion from construction site	<ul style="list-style-type: none"> <li>Proper planning of site clearing and grubbing so as not to keep the cleared site before working for long duration.</li> <li>Providing temporary side drains, catch water bank or drains, sedimentation basin, as necessary to avoid or minimize erosion and prevent sedimentation to receiving water bodies.</li> </ul>	Soil erosion planning and cases	Review of design document, turbidity level check	Contractor	Authority's Engineer/PIU
		Seepage from Construction	Refer Annex 7	Planning for seepage and spoil	Review of planning and	Contractor	Authority's Engineer/PIU

Sl.	Environmental Issue	Location/ Sources	Mitigation Measures	Monitoring Indicators	Monitoring Methods	Implementing Agency	Supervising & Monitoring Agency
		n Debris		disposal, number of cases	practices for seepage and spoil disposal, control, site visits		
		Construction camp and workers' camp	Refer Annex 6	Planning for waste management	Review of planning and practices for waste management, site visit, observations	Contractor	Authority's Engineer/PIU
3.	Ground water Pollution	Wastewater logging	<ul style="list-style-type: none"> <li>All wastewater will be diverted to a ditch that will be managed for the period of construction and after construction such ditches will be filled and restored to original condition.</li> </ul>	Planning for water diversion	Review of plans, field observations	Contractor	Authority's Engineer/PIU
		Borrow pit excavation	<ul style="list-style-type: none"> <li>Excavation of borrow pit should not touch the aquifer.</li> </ul>	Planning for borrow pit excavation	Review of plans, field observations	Contractor	Authority's Engineer/PIU
		Human wastes and wastewater at construction camp	<ul style="list-style-type: none"> <li>Providing septic tanks for treating sewage from toilets before discharging through soak pits.</li> <li>Locating soak pits at least 50m from any ground water sources.</li> <li>Decanting and or controlled disposal of oil and grease as collected at collection tanks of maintenance yard and chemical storage areas.</li> </ul> Refer Annex 6	Planning for waste management	Review of planning and practices for waste management, site visit, observations	Contractor	Authority's Engineer/PIU
4.	Noise Pollution and Vibration	Vehicles and Construction machinery	<ul style="list-style-type: none"> <li>Site Controls: Stationary equipment will be placed along un-inhabited stretches as per distance requirements computed above as far as practicable to minimize objectionable noise impacts.</li> <li>Scheduling of Project Activities: Operations will be scheduled to coincide with period when people would least likely to be affected. Construction activities will be avoided between 4 P.M. and 10 A.M. near residential areas.</li> <li>Protection devices (ear plugs or ear muffs) will be provided to the workers operating in the vicinity of high noise generating machines.</li> <li>Construction equipment and machinery should be fitted with silencers and maintained</li> </ul>	Noise level, complaints from local residents, vehicle maintenance record, awareness programs implemented, Number of noise barriers constructed near sensitive receptors (table 76)	Noise level measurement, field observations, discuss with local residents	Contractor	Authority's Engineer/PIU

Sl.	Environmental Issue	Location/ Sources	Mitigation Measures	Monitoring Indicators	Monitoring Methods	Implementing Agency	Supervising & Monitoring Agency
			<p>properly.</p> <ul style="list-style-type: none"> <li>• Source-control through proper maintenance of all equipment.</li> <li>• Use of properly designed engine enclosures and intake silencers.</li> <li>• Noise measurements should be carried out along the road to ensure the effectiveness of mitigation measures.</li> <li>• Vehicles and equipment used should confirm to the prescribed noise pollution norms.</li> <li>• Constructing noise barriers as proposed for schools and hospitals prior to taking up road construction activities at those sections.</li> <li>• Movements of heavy construction vehicles and equipment near public properties will be restricted.</li> <li>• Comply with siting criteria for stone crushers, Hot Mix Plant/s (HMP) and concrete batching plant/s (CBP), and installations and maintenance of pollution control devices as mentioned in Annex 5.</li> <li>• No hot mix plant or other source of air pollution (especially dust pollution) must be located within the degraded airshed for particulate matter (SPM/PM10). Specific measures such as watering of roads etc. shall be adopted for upgrading works in degraded airshed to avoid generation of additional SPM to add to the already poor quality air.</li> <li>• Construction workers in high noise level zone must be provided with ear protection equipments. Site specific mitigation measures will provided by contractor particularly in sensitive and urban locations.</li> <li>• Refer Annex 9 for identification, and operation of quarry areas and adopting controlled blasting.</li> <li>• Construction of noise barriers near sensitive receptors (listed in table 76) in consultation with the respective authority of the receptor</li> </ul>				
5.	Land Pollution	Spillage from plant and	<ul style="list-style-type: none"> <li>• Providing impervious platform and oil and grease trap for collection of spillage from</li> </ul>	Vehicle maintenance record,	Check equipment maintenanc	Contract or	Authority's Engineer/PIU



Sl.	Environmental Issue	Location/ Sources	Mitigation Measures	Monitoring Indicators	Monitoring Methods	Implementing Agency	Supervising & Monitoring Agency
		equipment at construction camp	<p>construction equipment vehicle maintenance platform.</p> <ul style="list-style-type: none"> <li>Collection oil and lubes drips in container during repairing construction equipment vehicles.</li> <li>Providing impervious platform and collection tank for spillage of liquid fuel and lubes at storage area.</li> <li>Providing bulk bituminous storage tank instead of drums for storage of bitumen and bitumen emulsion.</li> <li>Providing impervious base at bitumen and emulsion storage area and regular clearing of any bitumen spillage for controlled disposal.</li> <li>Reusing bitumen spillage.</li> <li>Disposing non-usable bitumen spills in a deep trench providing clay lining at the bottom and filled with soil at the top (for at least 0.5 m).</li> </ul> <p>Refer Annex 7 and Annex 8</p>	review plans for waste management and oil handling practices	records, field visits, observations		
		Domestic solid waste and wastewater generated at camp	<ul style="list-style-type: none"> <li>Collecting kitchen waste at separate bins and disposing of in a pit at designated area/s.</li> <li>Collecting plastics in separate bins and disposing in deep trench at designated area/s covering with soil.</li> <li>Collecting cottons, clothes etc. at separate bins.</li> <li>Solid waste to be stored, collected, transported, and disposed of to a suitably licensed engineered landfill with records of transfer notes kept i.e., no waste should be burned, bitumen spills and plastics should not just be disposed of in trench, kitchen waste should not be disposed of in a pit, etc.</li> </ul>	Planning for waste management	Review of planning and practices for waste management, site visit, observations	Contractor	Authority's Engineer/PIU
		Borrow pits	<ul style="list-style-type: none"> <li>Controlled operation and redevelopment of borrow pits to avoid water logging and land contamination.</li> </ul>	Plan for borrow pit management	Review plans, observations	Contractor	Authority's Engineer/PIU
6.	Loss of topsoil	All construction sites	<ul style="list-style-type: none"> <li>The topsoil from all areas of cutting and all areas to be permanently covered shall be stripped to a specified depth of 150 mm and stored in stockpiles. At least 10% of the temporarily acquired area shall be earmarked for storing topsoil.</li> <li>The stockpile shall be designed such that the slope does not</li> </ul>	Planning for top soil conservation	Review plan, field visits and observations	Contractor	Authority's Engineer/PIU

Sl.	Environmental Issue	Location/ Sources	Mitigation Measures	Monitoring Indicators	Monitoring Methods	Implementing Agency	Supervising & Monitoring Agency
			<p>exceed 1:2 (vertical to horizontal), and the height of the pile be restricted to 2m. To retain soil and to allow percolation of water, the edges of the pile shall be protected by silt fencing.</p> <ul style="list-style-type: none"> <li>Stockpiles will not be surcharged or otherwise loaded and multiple handling will be kept to a minimum to ensure that no compaction will occur. The stockpiles shall be covered with gunny bags or tarpaulin.</li> <li>It shall be ensured by the contractor that the topsoil will not be unnecessarily trafficked either before stripping or when in stockpiles.</li> <li>Such stockpiled topsoil will be returned to cover the disturbed area and cut slopes. Residual topsoil will be distributed on adjoining/proximate barren/rocky areas as identified by the Authority's Engineer in a layer of thickness of 75mm – 150mm. Top soil shall also be utilized for redevelopment of borrow areas, landscaping along slopes and incidental spaces.</li> </ul>				
7.	Compaction of soil	All construction sites	<ul style="list-style-type: none"> <li>Construction vehicle, machinery and equipment shall move or be stationed in the designated area (RoW or Col, as applicable) only. While operating on temporarily acquired land for traffic detours, storage, material handling or any other construction related or incidental activities, topsoil from agricultural land will be preserved as mentioned above.</li> </ul>	Planning for top soil management, traffic diversion plan	Review plans, field visits and observations	Contractor	Authority's Engineer/PIU
8.	Ecology	Site clearance	<ul style="list-style-type: none"> <li>Restricting tree cutting within corridor of impact.</li> </ul>	No. of tree to be cut	Review clearance papers, field observations	Contractor	Authority's Engineer/PIU
		Ancillary sites	<ul style="list-style-type: none"> <li>Minimizing tree cutting and vegetation clearance during site selection.</li> <li>Preservation of trees within ancillary sites and avoiding impact on forest resources by providing buffer area from boundary of forest areas of 1km for locating construction plants, construction camp, and quarry and 500 m for borrow areas.</li> </ul>	No. of tree to be cut	Review clearance papers, field observations	Contractor	Authority's Engineer/PIU

Sl.	Environmental Issue	Location/ Sources	Mitigation Measures	Monitoring Indicators	Monitoring Methods	Implementing Agency	Supervising & Monitoring Agency
			<ul style="list-style-type: none"><li>Preservation of trees of ecological, socio-cultural importance</li><li>Providing cooking at camp for discouraging and prohibiting use of fire-wood i.e. cutting of trees by the workers.</li></ul>				
9.	Occupational health and safety of workers	Construction camp	<ul style="list-style-type: none"><li>Water supply, sanitation, drainage and medical health facilities at campsite.</li><li>Ground water must not be used for drinking purpose.</li><li>Providing and using PPEs.</li><li>Using working reverse horn for all construction equipment and vehicles.</li><li>Providing earth link circuit breaker (ELCB) for all electrical connections.</li><li>Maintaining first aid at construction sites.</li><li>Maintaining emergency response system.</li><li>Provide adequate safety measures for communities and workers working on landslide prone zones.</li></ul> Refer Annex 5 and Annex 6	Planning for health and safety, practices being implemented	Review records, field check, observations,	Contractor	Authority's Engineer/PIU
10.	Accidents and safety	Construction sites	<ul style="list-style-type: none"><li>Providing and maintaining traffic management comprising diversion; warning, guiding and regulatory signage; channelisers and delineators; lighting, flagmen; dust control system etc. as specified in the contract.</li><li>Providing adequate light at construction zone if working during night time is permitted by the Engineer.</li><li>Conducting induction and periodic training for all workers and supervisors.</li></ul>	Planning for Traffic management , training plans	Check records, field observations	Contractor	Authority's Engineer/PIU
		Construction camp	<ul style="list-style-type: none"><li>Conducting periodic mock drilling on critical accident prone activities.</li><li>Conducting periodic training for all personnel working at plant site.</li></ul>	Planning for health and safety	Check record, observations, discussion with workers	Contractor	Authority's Engineer/PIU
OPERATION							
1.	Air Pollution	Vehicular gaseous emission	<ul style="list-style-type: none"><li>Periodical monitoring of air pollutants and if values exceed the standard limits (Annex 3), suitable mitigation measures such as controlling speed of traffic to be taken.</li></ul>	PM10 level, gaseous emissions	PM10 monitoring, vehicle maintenance record check	PIU	SPCB and Traffic Police
2.	Noise Pollution	Vehicular	<ul style="list-style-type: none"><li>Periodical monitoring of noise level will be carried out. If values</li></ul>	Noise level	Noise level measurement	PIU	SPCB

Sl.	Environmental Issue	Location/ Sources	Mitigation Measures	Monitoring Indicators	Monitoring Methods	Implementing Agency	Supervising & Monitoring Agency
			<p>exceed the standard (Annex 4) limits, suitable measures will be taken.</p> <ul style="list-style-type: none"> <li>Providing and maintaining signage on noise regulation at silence zones.</li> </ul>		nts, field observations		
3.	Road Safety	Traffic and Vehicles	<ul style="list-style-type: none"> <li>Maintenance as per Standard Highway Safety Signage and Traffic Management.</li> </ul>	Traffic movement	No. of accidents	PIU	PIU and Traffic Police
		Slow moving traffic					
		Lighting	<ul style="list-style-type: none"> <li>Maintenance of road / flyover lighting.</li> </ul>	Traffic movement	No. of accidents	PIU	PIU/Traffic police
4.	Tree plantation	-	<ul style="list-style-type: none"> <li>Roadside tree plantation three times of cutting.</li> </ul>	Survival rate of trees	Field observations	Forest Dept. / PIU	PIU
5.	Contamination of Soil and Water Resources from Spills due to traffic & accidents	Vehicular Traffic	<ul style="list-style-type: none"> <li>Contingency plans to be in place for cleaning up of spills of oil, fuel and toxic chemicals.</li> <li>Spill of oil, fuel and automobile servicing units without adequate preventive systems in place to be discouraged.</li> </ul>	Incidences of spills, accidents	Review of records, field consultations	PIU	PIU
6.	Soil Erosion and Sedimentation	-	<ul style="list-style-type: none"> <li>Maintaining the slope protection measures provided at stretches of high embankment and protection measures for bed scouring at cross drainage locations as per maintenance manual to be prepared before operation.</li> </ul>	Cases of landslides	Maintenance Records	PIU	PIU
7.	Maintenance of drainage system	-	<ul style="list-style-type: none"> <li>The drains will be periodically cleared to maintain storm water flow.</li> <li>Road drains will be cleared of debris before onset of every monsoon.</li> </ul>	Maintenance plans	Maintenance Records	PIU	PIU

## **Personnel Requirements**

The EPC Contractor shall deploy adequate number qualified and experienced personnel as per good industry practice for implementation of project.

## **Equipment Requirements**

The EPC Contractor shall deploy appropriate and adequate number of equipment machinery with age not more than 6 years or so for completion of work as per schedule.

## **Appendix**

**In addition to the evaluation criteria mentioned in Section 3, the bids would be evaluated as per annexure given hereunder:**

Annexure-I : Integrity Pact

## INTEGRITY PACT FORMAT

*(To be executed on plain paper and submitted alongwith Technical Bid/Tender documents for tenders having a value of Rs 5 cr or above for Consultancy projects and 100 cr. or above for Construction projects. To be signed by the bidder and same signatory competent/ authorized to sign the relevant contract on behalf of the NHIDCL)*

This integrity Pact is made at \_\_\_\_\_ on this \_\_\_\_\_ day of 2017.

### **BETWEEN**

**[National Highways and Infrastructure Limited (NHIDCL) under Ministry of Road Transport & Highways, Government of India** represented by Managing Director, 3<sup>rd</sup> Floor, 4-Parliament Street, New Delhi-110001], (hereinafter referred to as the “**Principal/Owner**” which expression shall, unless repugnant to the context or meaning thereof, include its administrators, successors and assigns)

### **AND**

{Name and address of the Firm/Company}, (hereinafter referred to as “The Bidder(s)/Contractor(s)/Concessionaire(s)/Consultant(s)” and which expression shall unless repugnant to be meaning or context thereof include its successors and permitted assigns.)

### **Preamble**

Whereas, the Principal has floated the Tender {NIT No.....dtd.....} (hereinafter referred to as “Tender/Bid”) and intends to award, under laid down organizational procedure, contract/s for {Name of the work}(hereinafter referred to as the “Contract”).

And Whereas the Principal values full compliance with all relevant laws of the land, rules of land, regulations, economic use of resources and of fairness/ transparency in its relations with its Bidder(s) and/ or Contractor(s)/Concessionaire(s)/Consultant(s).

And whereas to meet the purpose aforesaid, both the parties have agreed to enter into this Integrity Pact (hereafter referred to as “Integrity Pact” or “Pact”) the terms and conditions of which shall also be read as integral part and parcel of the Tender documents and contract between the parties.

Now, therefore, in consideration of mutual covenants contained in this pact, the parties hereby agree as follows and this pact witnesses as under:

### **Article-1:Commitments of the Principal**

(1) The Principal commits itself to take all measures necessary to prevent corruption and to observe the following principles:-

- (a) No employee of the Principal, personally or through family members, will in connection with the Tender for, or the execution of a Contract, demand, take a promise for or accept, for self, or third person, any material of immaterial benefit which the person is not legally entitled to.
- (b) The Principal will, during the Tender process treat all Bidder(s) with equity and reason. The Principal will in particular, before and during the Tender process, provide to all Bidder(s) the same information and will not provide to any Bidder(s) confidential/ additional information through which the Bidder(s) could obtain an advantage in relation to the tender process or the contract execution.
- (c) The Principal will exclude all known prejudiced persons from the process, whose conduct in the past has been of biased nature.



- (2) If the Principal obtains information on the conduct of any of its employees which is a criminal offence under the IPC/PC Act or any other Statutory Acts or if there be a substantive suspicion in this regard, the Principal will inform the Chief Vigilance Officer and in addition can initiate disciplinary actions as per its internal laid down Rules/Regulations.

**Article – 2: Commitments of the Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s).**

The Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) commit himself to take all measures necessary to prevent corruption. He commits himself to observe the following principles during his participation in the tender process and during the contract execution.

- (a) The Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) will not, directly or through any other person or firm, offer, promise or give to any of the Principal's employees involved in the tender process or the execution of the contract or to any third person any material or other benefit which he/she is not legally entitled to, in order to obtain in exchange any advantage of any kind whatsoever during the tender process or during the execution of the contract.
- (b) The Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) will not enter with other Bidders into any undisclosed agreement or understanding, whether formal or informal. This applies in particular to prices, specifications, certifications, subsidiary contract, submission or nonsubmission or bids or any other actions to restrict competitiveness or to introduce cartelization in the bidding process.
- (c) The Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) will not commit any offence under the relevant IPC/PC Act and other Statutory Acts; further the Bidder(s)/Contractor(s)/Concessionaire(s)/Consultant(s) will not use improperly, for purposes of completion or personal gain, or pass on to others, any information or document provided by the Principal as part of the business relationship, regarding plans, technical proposals and business details, including information contained or transmitted electronically.
- (d) The Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) of foreign origin shall disclose the name and address of the Agents/ Representatives in India, if any. Similarly the Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) of Indian Nationality shall furnish the name and address of the foreign principle, if any.
- (e) The Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) will, when presenting his bid, disclose any and all payments he has made, is committed to or intends to make to agents, brokers or any other intermediaries in connection with the award of the contract. He shall also disclose the details of services agreed upon for such payments.
- (f) The Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) will not instigate third persons to commit offences outlined above or be an accessory to such offences.
- (g) The Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) will not bring any outside influence through any Govt. bodies/quarters directly or indirectly on the bidding process in furtherance of his bid.

**Article - 3 Disqualification from tender process and exclusion from future contracts.**

- (1) If the Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s), before award or during execution has committed a transgression through a violation of any provision of Article-2, above or in any other form such as to put his reliability or credibility in question, the Principal is entitled to disqualify the Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) from the tender process.
- (2) If the Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) has committed a transgression through a violation of Article-2 such as to put his reliability or credibility into question, the Principal shall be entitled to exclude including blacklist and put on holiday the Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) for any future tenders/ contract award process. The imposition and duration of the exclusion will be determined by the severity of the transgression. The severity will be determined by the Principal taking into consideration the full facts and circumstances of each case particularly taking into account the number of transgressions, the position of the transgressors within the company hierarchy of the Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) and the amount of the damage. The exclusion will be imposed for a maximum of 3 years.
- (3) A transgression is considered to have occurred if the Principal after due consideration of the available evidence concludes that “On the basis of facts available there are no material doubts”.
- (4) The Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) with its free consent and without any influence agrees and undertakes to respect and uphold the Principal’s absolute rights to resort to and impose such exclusion and further accepts and undertakes not to challenge or question such exclusion on any ground, including the lack of any hearing before the decision to resort to such exclusion is taken. This undertaking is given freely and after obtaining independent legal advice.
- (5) The decision of the Principal to the effect that a breach of the provisions of this Integrity Pact has been committed by the Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) shall be final and binding on the Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s), however, the Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) can approach IEM(s) appointed for the purpose of this Pact.
- (6) On occurrence of any sanctions/ disqualification etc arising out from violation of integrity pact, the Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) shall not be entitled for any compensation on this account.
- (7) Subject to full satisfaction of the Principal, the exclusion of the Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) could be revoked by the Principal if the Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) can prove that he has restored/ recouped the damage caused by him and has installed a suitable corruption prevention system in his organization.

**Article – 4: Compensation for Damages.**

- (1) If the Principal has disqualified the Bidder(s) from the tender process prior to the award according to Article-3, the Principal shall be entitled to forfeit the Earnest Money Deposit/ Bid Security or demand and recover the damages equivalent to Earnest Money Deposit/ Bid Security apart from any other legal right that may have accrued to the Principal.
- (2) In addition to 1 above, the Principal shall be entitled to take recourse to the relevant provisions of the contract related to Termination of Contract due to Contractor/ Concessionaire/Consultant's Default. In such case, the Principal shall be entitled to forfeit the Performance Bank Guarantee of the Contractor/ Concessionaire/ Consultant and/ or demand and recover liquidated and all damages as per the provisions of the contract/concession agreement against Termination.

**Article – 5: Previous Transgressions**

- (1) The Bidder declares that no previous transgressions occurred in the last 3 years immediately before signing of this Integrity Pact with any other Company in any country conforming to the anti corruption/ Transparency International (TI) approach or with any other Public Sector Enterprise/ Undertaking in India or any Government Department in India that could justify his exclusion from the tender process.
- (2) If the Bidder makes incorrect statement on this subject, he can be disqualified from the tender process or action for his exclusion can be taken as mentioned under Article-3 above for transgressions of Article-2 and shall be liable for compensation for damages as per Article-4 above.

**Article – 6: Equal treatment of all Bidders/ Contractors/ Concessionaires/ Consultants/ Subcontractors.**

- (1) The Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) undertake(s) to demand from all sub-contractors a commitment in conformity with this Integrity Pact, and to submit it to the Principal before contract signing.
- (2) The Principal will enter into agreements with identical conditions as this one with all Bidders/ Contractors/ Concessionaires/ Consultants and subcontractors.
- (3) The Principal will disqualify from the tender process all Bidders who do not sign this Pact or violate its provisions.

**Article – 7: Criminal charges against violating Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s)/ Sub-contractor(s).**

If the Principal obtains knowledge of conduct of a Bidder/ Contractor/ Concessionaire/ Consultant or subcontractor, or of an employee or a representative or an associate of a Bidder/ Contractor/ Concessionaire/ Consultant or Subcontractor, which constitutes corruption, or if the Principal has substantive suspicion in this regard, the Principal will inform the same to the Chief Vigilance Officer.

**Article- 8: Independent External Monitor (IEM)**

- (1) The Principal has appointed Shri. R.S. Gujral as Independent External Monitor (herein after referred to as "Monitor") for this Pact. The task of the Monitor is to

review independently and objectively, whether and to what extent the parties comply with the obligations under this agreement.

- (2) The Monitor is not subject to instructions by the representatives of the parties and performs his functions neutrally and independently. He reports to the Director General (Road Development) & Special Secretary.
- (3) The Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) accepts that the Monitor has the right to access without restriction to all project documentation of the Principal including that provided by the Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s). The Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) will also grant the Monitor, upon his request and demonstration of a valid interest, unrestricted and unconditional access to his project documentation. The same is applicable to Subcontractors. The Monitor is under contractual obligation to treat the information and documents of the Bidder(s)/Contractor(s)/Subcontractor(s) with confidentiality.
- (4) The Principal will provide to the Monitor sufficient information about all meetings among the parties related to the Project provided such meetings could have an impact on the contractual relations between the Principal and the Contractor. The parties offer to the Monitor the option to participate in such meetings.
- (5) As soon as the Monitor notices, or has reason to believe, a violation of this Pact, he will so inform the Management of the Principal and request the Management to discontinue or take corrective action, or to take other relevant action. The monitor can in this regard submit non-binding recommendations. Beyond this, the Monitor has no right to demand from the parties that they act in a specific manner, refrain from action or tolerate action.
- (6) The Monitor will submit a written report to the Director General (Road Development) & Special Secretary within 8 to 10 weeks from the date of reference or intimation to him by the Principal and, should the occasion arise, submit proposals for correcting problematic situations.
- (7) If the Monitor has reported to the Director General (Road Development) & Special Secretary, a substantiated suspicion of an offence under relevant IPC/PC Act, and the Director General (Road Development) & Special Secretary has not, within the reasonable time taken visible action to proceed against such offence or reported it to the Chief Vigilance Officer, the Monitor may also transmit this information directly to the Central Vigilance Commissioner.
- (8) The word 'Monitor' would include both singular and plural.

#### **Article – 9 Pact Duration**

This Pact begins when both parties have legally signed it (in case of EPC i.e. for projects funded by Principal and consultancy services). It expires for the Contractor/ Consultant 12 months after his Defect Liability period is over or 12 months after his last payment under the contract whichever is later and for all other unsuccessful Bidders 6 months after this Contract has been awarded. (In case of BOT Projects) It expires for the concessionaire 24 months after his concession period is over and for all other unsuccessful Bidders 6 months after this Contract has been awarded.

If any claim is made/ lodged during his time, the same shall be binding and continue to be valid despite the lapse of this pact as specified above, unless it is discharged/ determined by Director General (Road Development ) & Special Secretary.

**Article - 10 Other Provisions.**

- (1) This pact is subject to Indian Law. Place of performance and jurisdiction is the Registered Office of the Principal, i.e. New Delhi.
- (2) Changes and supplements as well as termination notices need to be made in writing.
- (3) If the Bidder/Contractor/Concessionaire/Consultant is a partnership or a consortium, this pact must be signed by all partners or consortium members.
- (4) Should one or several provisions of this agreement turn out to be invalid, the remainder of this agreement remains valid. In this case, the parties will strive to come to an agreement to their original intentions.
- (5) Any disputes/ differences arising between the parties with regard to term of this pact, any action taken by the Principal in accordance with this Pact or interpretation thereof shall not be subject to any Arbitration.
- (6) The actions stipulated in this Integrity Pact are without prejudice to any other legal action that may follow in accordance with the provision of the extent law in force relating to any civil or criminal proceedings.

In witness whereof the parties have signed and executed this Pact at the place and date first done mentioned in the presence of following witness:-

(For & On behalf of the Principal)

(For & On behalf of the Bidder/ Contractor/  
Concessionaire/ Consultant )

(Office Seal )

Place \_\_\_\_\_

Date \_\_\_\_\_

Witness 1 : (Name & Address):

Witness 2 : (Name & Address):

*{COUNTERSIGNED and accepted by:JV Partner}*